Holocene carbon emissions as a result of anthropogenic land cover change

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Abstract

Humans have altered the Earth's land surface since the Paleolithic mainly by clearing woody vegetation first to improve hunting and gathering opportunities, and later to provide agricultural cropland. In the Holocene, agriculture was established on nearly all continents and led to widespread modification of terrestrial ecosystems. To quantify the role that humans played in the global carbon cycle over the Holocene, we developed a new, annually resolved inventory of anthropogenic land cover change from 8000 years ago to the beginning of large-scale industrialization (AD 1850). This inventory is based on a simple relationship between population and land use observed in several European countries over preindustrial time. Using this data set, and an alternative scenario based on the HYDE 3.1 land use data base, we forced the LPJ dynamic global vegetation model in a series of continuous simulations to evaluate the impacts of humans on terrestrial carbon storage during the preindustrial Holocene. Our model setup allowed us to quantify the importance of land degradation caused by repeated episodes of land use followed by abandonment. By 3 ka BP, cumulative carbon emissions caused by anthropogenic land cover change in our new scenario ranged between 84 and 102 Pg, translating to c. 7 ppm of atmospheric CO_2. By AD 1850, emissions were 325–357 Pg in the new scenario, in contrast to 137–189 Pg when driven by HYDE. Regional events that resulted in local emissions or uptake of carbon were often balanced by contrasting patterns in other parts of the world. While we cannot close the carbon budget in the current study, simulated cumulative anthropogenic emissions over the preindustrial Holocene are consistent with the ice core record of atmospheric $\delta^{13}CO_2$ and support the hypothesis that anthropogenic activities led to the stabilization of atmospheric CO_2 concentrations at a level that made the world substantially warmer than it otherwise would be.

Introduction

Several attempts have been made to reconstruct the history of anthropogenically induced land cover change (ALCC), and in some cases the resulting CO_2 emissions, both in the industrial era and in preceding centuries (Houghton, 2003; Houghton et al., 1999; Klein Goldewijk, 2001; Pongratz et al., 2008; Ramankutty and Foley, 1998, 1999; Strassmann et al., 2008). Because most countries lack reliable land use surveys prior to the middle of the twentieth century, these reconstructions rely on hindcasting techniques based on estimated historical populations and assumptions about how people used the land. A common hindcasting method, linear scaling, first establishes the quantitative link between modern populations and land use data (typically within the interval 1960–2005) and then uses prior (historical) population data to estimate past land use by assuming near-constant land use per person (Klein Goldewijk, 2001; Klein Goldewijk et al., 2010a, b; Pongratz et al., 2008).

Land use estimates based on this assumption inevitably show little human land use prior to the exponential population explosion that began near AD 1500 and accelerated through the present day (Figure 1). This finding has led to the conclusion that forest clearance by humans could not have played a significant role in the gradual rise of CO_2 concentrations that began during the middle Holocene about 8 ka (cal. BP; 8000 yr before AD 1950). Atmospheric CO_2 increased by ~22 ppm from the 8 ka minimum to the start of the industrial era (Ruddiman, 2007).

The assumption that land use per capita has remained constant over time is not supported by published evidence or by widely accepted land use theory. Archeologists, paleoecologists, paleobotanists, anthropologists, and other field-based researchers have repeatedly shown that from the late Paleolithic to the beginning of widespread industrialization, the first human residents and especially farmers in any region used far more land per person than those after them, and that land use per capita has decreased over time as populations increase, land availability per capita declines, technologies improve, and land use intensifies (e.g. Johnston, 2003; for reviews see, e.g. Kaplan et al., 2009; Ruddiman and Ellis, 2009). Indeed, Boserup (1965, 1981) synthesized evidence across field studies to develop the most widely used general model of land use intensification, in which population pressure drives farmers to implement ever-more innovative

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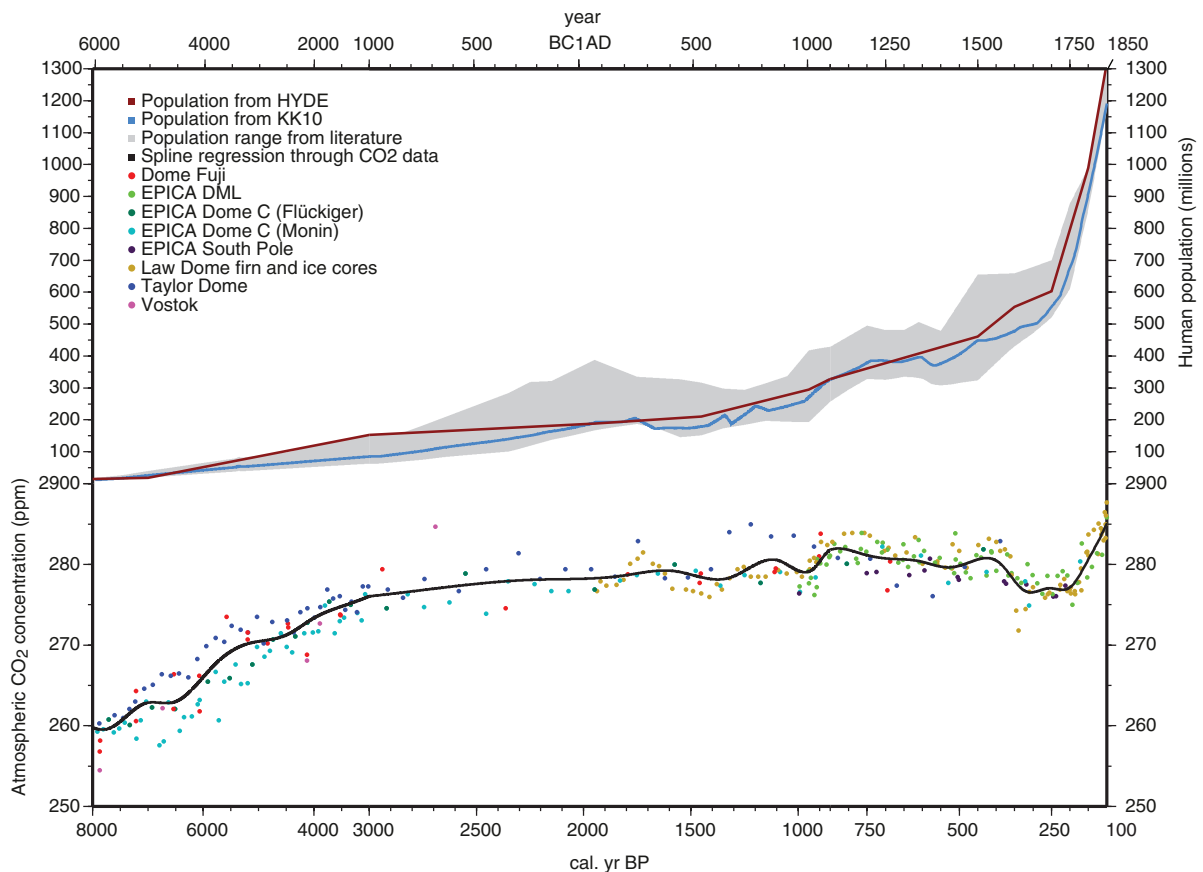
Figure 1. Human population history and record of atmospheric CO_2 concentrations from 8 ka to AD 1850. Time series of population data from 209 regions was used to produce the KK10 ALCC data set. The gray area around the population estimates represents the range of population estimates in literature. The smoothed spline fit to ice core and firn CO_2 records (see Krumhardt and Kaplan, 2010) was used to drive the LPJ DGVM

and labor-intensive methods of extracting more food per unit area farmed, so that land use per capita decreases as population density increases.

Regional historical observations from Europe and China support the theory that land cover change per capita fell sharply over at least the last 2000 years (Chao, 1986; Ellis and Wang, 1997; Ervynck et al., 2007; Hermy and Verheyen, 2007; Kaplan et al., 2009; McEvedy and Jones, 1978; Ruddiman, 2003; Ruddiman and Ellis, 2009; Ruddiman et al., forthcoming; Verheyen et al., 1999). In the earliest and least populated phase of the Holocene, most humans were hunter-gatherers, and practiced a nomadic or semi-sedentary lifestyle (e.g. Bellwood, 2005; Mazoyer and Roudart, 2006; Richerson et al., 2001). Under these conditions, forest clearing by fire was extensive, both from fires set intentionally to improve foraging conditions, and by the mere presence of humans with anthropogenic fire and livestock (for a review see Williams, 2008). Once agriculture was established, per capita land use decreased steadily. Ruddiman and Ellis (2009) summarized evidence on population and land clearing to suggest that per capita land use has decreased ten-fold since the mid Holocene. Likewise, in Lemmen's (2009) model of prehistoric technical and societal changes, per capita land use decreased by a factor of seven from the emergence of agriculture at 11 ka to 3 ka.

In short, the assumption of constant land use per capita in pre-industrial times is unjustified. Given that early farmers could have

been using more than ten times as much land per capita as later ones, it is premature to conclude that human activities have played little or no role in the preindustrial (before AD 1850) CO_2 increase (Figure 1). Rather, simulations of preindustrial land use should take into account existing scientific knowledge and theory on how humans are known to have cleared land in the past. Such studies may then provide meaningful tests of the hypothesis that early agriculture caused the unprecedented interglacial CO_2 increases observed 8000 years ago (Ruddiman, 2003; see also Ruddiman et al., forthcoming). Olofsson and Hickler (2008) made a first attempt in this direction, but their study assumed constant per capita land use after 1700, and it lumped earlier clearance into two categories: 90% clearance for 'states and empires', and very little clearance for all other 'agricultural groups'.

Here we reconstruct carbon emissions caused by ALCC over the Holocene based on contrasting scenarios of population and anthropogenic land use over time, including a new empirical model in which per capita ALCC declines over time and a conventional model that holds per capita ALCC roughly constant over time. These scenarios are used to drive a dynamic global vegetation model to estimate regional and global patterns of changes in terrestrial carbon storage through the last 8000 years. The resulting differences in global and regional patterns of ALCC and carbon emissions are then contrasted to highlight the global importance of assuming constant land use per capita versus

models that incorporate changes in per capita land use with population density. Finally, we discuss how the ALCC emission estimates derived here relate to independent constraints on the global carbon cycle during the Holocene.

Materials and methods

In order to quantify ALCC emissions over the Holocene we (1) developed a new scenario of global ALCC from 8 ka BP (calendar years before AD 1950) to AD 1850 based on existing methodology, (2) assembled climate, soils and CO_2 data used to drive a Dynamic Global Vegetation Model (DGVM), (3) modified the LPJ DGVM to handle ALCC and made several other small improvements to the model, and (4) ran the model in a number of experiments to characterize the range of possible emissions scenarios. Development of the new ALCC time series involved preparation of a new data base of estimates of prehistoric and historical global population. We assembled new driver data sets of CO_2 concentrations and meteorology based on the latest available data sets. The modifications to the LPJ DGVM included a novel mechanism to simulate transient land use and shifting cultivation and an improved geographic distribution of soil organic matter based on compilation of observations. Methods specifically concerning our treatment of ALCC in the DGVM are described below. A detailed description of the rest of our methodology is contained in the supplementary material to this article, available online.

Anthropogenic land cover change (ALCC)

The primary driver of Holocene CO_2 emissions in this study was a gridded time series of global ALCC. We used two ALCC data sets to drive LPJ DGVM, (1) the HYDE 3.1 data base (Klein Goldewijk et al., 2010b) and (2) a new data set developed for this study, hereinafter called the Kaplan and Krumhardt 2010 (KK10) data set. The KK10 scenario makes the central assumption that humans use land more intensively in all regions of the world with increasing population density and land scarceness (Boserup, 1965). In contrast, the standard version of the Historical Data base of the Global Environment (HYDE; Klein Goldewijk et al., 2010b), is based on a nearly linear relationship between population and area of land under agriculture, and shows very little variation in per capita land use. Here, we use the HYDE 3.1 data set of ALCC (crop + pasture fractions) to provide a comparison to our results with the KK10 scenario and in order to compare our results with those from other carbon cycle studies that used the HYDE data set (e.g. Strassmann et al., 2008). In using HYDE as input to LPJ, we added the crop and pasture fractions of each gridcell and linearly interpolated between each timeslice. As the development and evaluation of the HYDE data base is described in detail in another publication (Klein Goldewijk et al., 2010b), here we focus the rest of this section on the description of the new KK10 data set.

An empirical model for simulating past ALCC was recently developed by Kaplan et al. (2009) and applied to Europe for the past 3000 years. We expanded on this method in the current study by expanding the geographic scope to global and the entire time period from 8000 years ago to AD 1850, when the Industrial Revolution began to profoundly alter relationships between population and land use (Ellis and Ramankutty, 2008). Uniquely, the Kaplan et al. (2009) method is based on a non-linear relationship between population density and land use, which generally translates to a decrease in per capita land use with time, as population densities increase and land use intensification occurs.

Human population is the main input for estimating ALCC using the KK10 model. We assembled a new, annually resolved data base of population from 1000 BC to AD 1850 for 209 regions of the world (Figures 1 and S1). A complete description of the methods used to assemble our historical population data base may be found in Krumhardt (2010). In summary, our population estimates are based primarily on McEvedy and Jones (1978), replaced or adjusted wherever better data were available. Adjustments to population estimates for Europe are discussed in Kaplan et al. (2009). For the Western Hemisphere before fifteenth-century contact with Europeans, we used updated values from a number of sources, all of which indicated that the McEvedy and Jones (1978) figures were generally at the very low range of estimates (Denevan, 1992; Krumhardt, 2010). Our data set therefore presents substantially increased population numbers for the pre-Columbian Western Hemisphere over those of McEvedy and Jones (1978). To capture spatial patterns in population changes across China, provincial data from 221 BC to AD 1850 was used (Zhao and Xie, 1988), allowing detailed improvements in population patterns and dynamics without changing total values significantly from McEvedy and Jones (1978). To extend our population time series for each region from 3 ka back to 8 ka, we used a time series of global population simulated by the Global Land Use and Technological Evolution Simulator (GLUES; Lemmen, 2009; Wirtz and Lemmen, 2003).

We simulated ALCC based on population data, maps of land suitability for agriculture and pasture, and a simple relationship between population density and preindustrial land use (Kaplan et al., 2009). Time series of population estimates for each population region were transformed into the fraction of land used by people by first calculating population density on arable land within each region and then applying this value to a sigmoidal function that relates population density to the estimated area of land under deforestation. The amount of arable land in any population region is constrained by observationally based estimates of land suitability for cultivation and pasture at the present day (Ramankutty et al., 2002). In this sense, a limitation of our methodology is that we do not account for the way improvements in technology (e.g. irrigation, terracing, or the development of the steel plow) progressively opened up land to agricultural activities through time (Kaplan et al., 2009). While suitability for cultivation is a function of both climate and soil quality (Ramankutty et al., 2002), pasture suitability is determined only by climate, and accounts for areas suitable for grazing livestock as well as for wood harvest for construction or fuel (Kaplan et al., 2009). The spatial distribution of land use is determined by the total amount of land required for any region and time multiplied by the land suitability factor. This method results in maps of land use that display the most suitable land being cleared first, followed by use of increasingly marginal land as population pressure, and thus land required, increases. The population density–forest clearance relationship deteriorates with industrialization, urbanization and trade, and therefore the KK10 data set covers only the time period from 8 ka to AD 1850. A detailed discussion of the methodology used to simulate ALCC is contained in Kaplan et al. (2009).

Because the original population density–ALCC relationship was developed using observations in Europe, and the potential productivity of land for agriculture and pasture is much higher in tropical regions and lower in boreal regions, it was necessary to

add a potential productivity scaling to our population-ALCC model. Without this scaling, simulated ALCC in tropical regions was unrealistically high in prehistoric times, as even prehistoric societies were able to gain far higher yields in the tropics, even practicing double and sometimes triple cropping (Mathey and Gurr, 1983; Wilken, 1971). We used a high-resolution (5 arc-minute) map of potential Net Primary Productivity (NPP) for the mid-twentieth century produced by the BIOME4 vegetation model (Kaplan, 2001) to rescale ALCC according to the potential productivity of land using the equation:

$$ALCC = ALCC \, (1.5 - NPP/1400) \quad (1)$$
$$| \, NPP > 700 \; g/m^2 \; per \; yr \, |$$

NPP is on average roughly twice as high in the most productive areas of the world (NPP ~1400 g/m^2 per yr) than it is in most of Europe (~700 g/m^2 per yr). To avoid overestimating ALCC in cool-temperate and boreal regions, and because these regions are not typically intensively exploited for agriculture in any case, this equation was applied only in areas with NPP exceeding the European average of 700 g/m^2 per yr (Kaplan, 2001).

The LPJ DGVM was run on a half-degree (30 arc-minute) grid, while both ALCC data sets have a native resolution of 5 arc-minutes. To prepare the ALCC data sets for the DGVM, we averaged each 6 × 6 block of 5′ gridcells to the 30′ grid used for LPJ.

Other driver data for terrestrial carbon cycle modeling

The LPJ DGVM is driven by spatially and temporally explicit data sets of climate, soil properties and atmospheric CO_2 concentrations (Sitch et al., 2003). As the primary purpose of this study is to quantify the role of ALCC on the terrestrial carbon cycle, and because realistic global paleoclimate forcing data sets are currently neither available from climate models nor based on proxy reconstructions, we used a standard twentieth-century, observation-based climate data set to run LPJ: a 100-year long timeseries of global climate (monthly mean temperature and cloud cover, monthly total precipitation) gridded at 30′ resolution.

CO_2 forcing data for LPJ was produced by compositing Antarctic ice-core and firn air records of atmospheric CO_2 concentrations over the Holocene using a regression spline method weighted by the precision of the individual measurements (Krumhardt and Kaplan, 2010). The resulting annual timeseries of CO_2 concentrations was used to drive the LPJ DGVM.

Model configuration for ALCC simulations

To model the effects of ALCC terrestrial vegetation in LPJ, we followed the approach of Strassmann et al. (2008), where managed and unmanaged land is represented on separate sub-grid scale tiles. We make the additional modification of explicitly simulating unmanaged land that was previously under land use, i.e. abandoned land. Thus, our LPJ model setup includes simultaneous simulation of up to three tiles in every 30′ pixel: (1) unmanaged land that has never been managed, (2) land under human land use, and (3) abandoned, recovering land. ALCC fractions are input on an annual time step and unmanaged land is always converted to land use preferentially before abandoned land is reused. Following Strassmann et al. (2008), conversion to agricultural

land use (land clearance) from either the natural vegetation or the abandoned tile results in the transfer of all leaf biomass to the litter pool, immediate oxidation of 25% of the aboveground woody biomass, and redistribution of the rest of the wood to two product pools with exponential decay constants of 2 and 20 years, respectively. With land clearance, all living belowground biomass enters the litter pool immediately, and decays or is transferred to soil organic matter following Sitch et al. (2003). Even after conversion to agricultural land, a significant amount of the carbon in the ecosystem may remain *in situ*, mainly in the form of soil organic matter (for more details on the simulation of soil organic matter dynamics, see section 1.3 of the supplementary methods for this paper, available online). Following initial clearance of the agricultural land use tile, all woody PFTs are prevented from further establishment.

To incorporate shifting land use practices in our ALCC model, we further created a scheme for modeling the turnover of agricultural land that simulates progressive use, abandonment, and conversion of unused land, e.g. shifting cultivation. In this scheme, a land 'turnover-time' (tt) is specified in the model run options where 1/tt equals the fraction of the total area under human land use that is simultaneously abandoned and cleared at each annual time step. The total area under human land use at any given time is the same in all simulations, regardless of turnover time, though in simulations where tt is prescribed, a significant fraction of the gridcell can be in the abandoned tile. We defined an *unusable* fraction of each gridcell as 1 − *usable* fraction, which is based on climate data and unique to each gridcell (Kaplan et al., 2009; Ramankutty et al., 2002). The unusable fraction always remains under natural vegetation, regardless of the turnover rate. For the simulations with the HYDE land use data, if the fraction of land under anthropogenic land use becomes greater than the calculated usable fraction, then the unusable fraction is reduced to reflect the remaining unused portion. We made experiments specifying turnover of agricultural land with 25-, 50- and 100-year rotation times, in which 4%, 2% or 1% of the land used is turned over annually on all gridcells with ALCC, respectively. Though people in the tropics often implemented shorter rotation times (Cook, 1921; Reina, 1967), performing runs on a global scale with 5–10 year agricultural rotation times would result in the same overall trends in carbon emissions we describe below but with unreasonably high absolute emissions, especially considering that farmers in temperate regions often cultivated the same plots of land continuously (Mazoyer and Roudart, 2006). We further ran a series of experiments as a control scenario where no land turnover is specified, i.e. agricultural land use was permanently established on the same fraction of the gridcell for the duration of the model run.

Model experiments were performed with the LPJ DGVM to simulate the ALCC effects on the carbon cycle over the Holocene. Each run is initialized with a 1000 year spinup period – the soil organic matter pools are analytically equilibrated after 750 years following Sitch et al. (2003) – and then starts at 8 ka and continues to AD 1850, a transient run of 7901 years.

Results

Scenarios of ALCC

The two ALCC scenarios used in this study differ substantially (Table 1; Figures 2, 3, and S3). In general, the KK10 scenario, in which land use per capita declines as population density increases,

Table 1. Global land areas under ALCC for the KK10 scenario (this study) and the HYDE 3.1 data base (Klein Goldewijk et al., 2010)

| Year	Cal. yr BP	% global land under ALCC		km² (millions) under ALCC	
		KK10	HYDE 3.1	KK10	HYDE 3.1
6050 BC	8000	1.3	0.015	1.86	0.021
1050 BC	3000	6.1	1.2	8.71	1.7
50 BC	2000	9.5	1.9	13.6	2.81
AD 100	1850	10.1	2	14.4	2.93
AD 500	1450	10.7	1.9	15.3	2.78
AD 950	1000	12.6	2.3	18	3.38
AD 1473	477	16.1	3.5	23	5.1
AD 1600	350	15.1	4.3	21.6	6.24
AD 1850	100	20.7	9.9	29.4	14.4

consistently produces higher levels of preindustrial ALCC than the HYDE data base (Klein Goldewijk et al., 2010b), which uses a relatively constant relationship between land use and population similar to mid-twentieth century levels. Additionally, in KK10, ALCC is more widely distributed within regions with high agricultural suitability, while HYDE generally depicts smaller, more concentrated areas of land clearance, probably because it uses a number of factors in addition to the climate and soil indicators used in KK10, including the location of urban areas, slope, and distance to water bodies (Klein Goldewijk et al., 2010b). The slight increase in per capita land use with time seen in HYDE (Figure 2) reflects the country-level trends in per capita land use observed during the late twentieth century upon which this data

set is based. Over this time period, both increases and decreases in per capita land use are observed as a result of industrialization and technology, offset by development of export crops and increases in the area of irrigated farmland. Extrapolation of these trends to the past is generally unrealistic.

In the HYDE data base, a nearly pristine world existed until 3 ka when clearing in northeast China, the Middle East, Europe and South America began to emerge (Table 1; Figure 3a). In contrast, the KK10 land use scenario simulates that these regions, in addition to parts of the Indian subcontinent and Mesoamerica, were up to 40% under human land use at this time period. 2000 years later, by AD 1, ALCC only slightly increases in the HYDE data set, while in the KK10 scenario, lands of the Middle East and Indian regions were nearly 70% exploited. Moreover, the KK10 scenario shows that parts of Mesoamerica, the Andes, Europe and China were nearly 60% cleared and some parts of sub-Saharan Africa were up to 50% cleared at AD 1.

From AD 1500 to AD 1600 a decrease in anthropogenic land use in the Western Hemisphere is visible in both data sets, as the indigenous populations of the Americas succumbed to disease and war brought by European explorers and colonists (Figure 3b). The collapse of large pre-contact populations with advanced agriculture, which were especially concentrated in Mesoamerica and the Andes, led to high amounts of land abandonment in the KK10 data set. The low levels of ALCC shown at AD 1500 in the HYDE scenario are almost entirely abandoned 100 years after conquest.

By AD 1800, anthropogenic land use in the Americas accelerated with the spread of colonies and nations founded by Europeans. The KK10 scenario shows the northeast United States and the
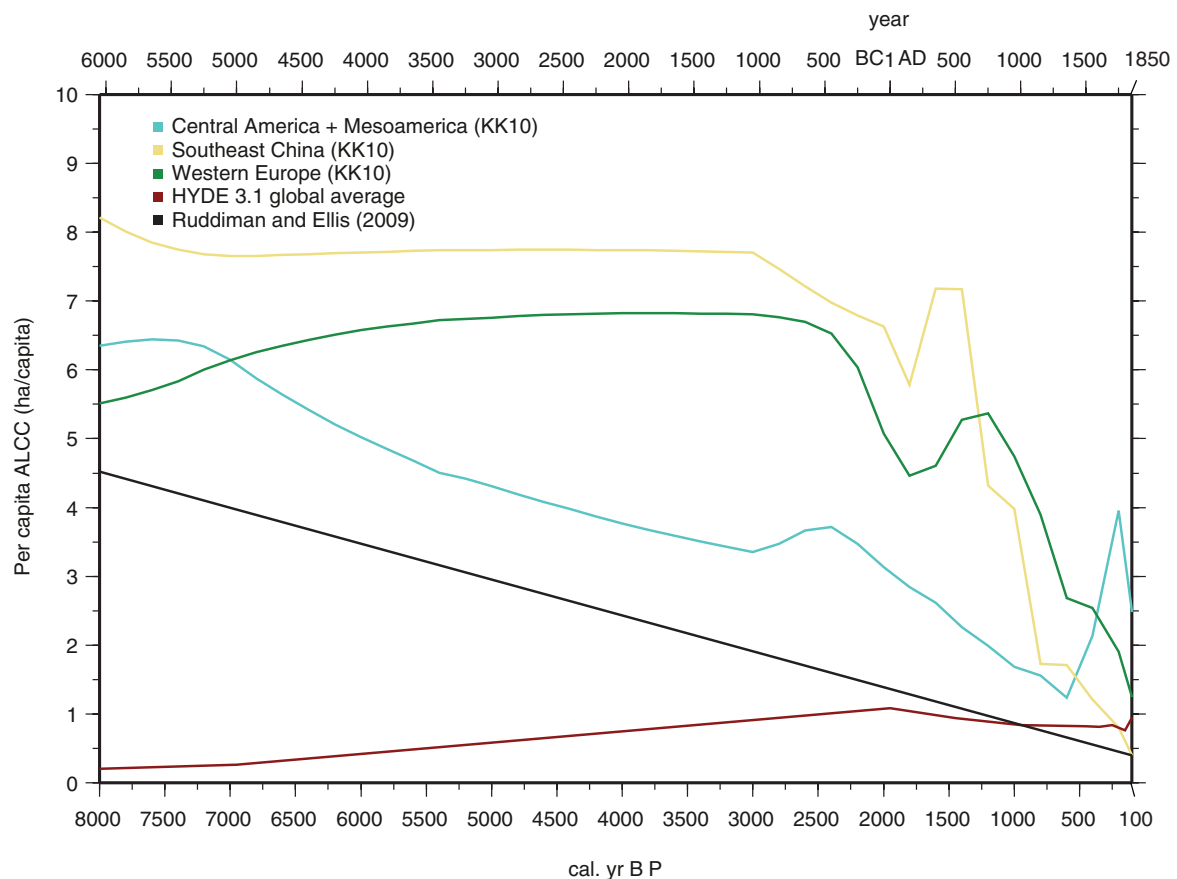

Figure 2. Per capita ALCC from 8 ka to AD 1850 for western Europe (average of Italy, Spain, France, and Germany), southeast China (average of the provinces Fujian, Guangdong, Jiangsu, Jiangxi, Zhejiang, and Anhui), and the Mesoamerica-Central America regions from the KK10 scenario, as well as global per capita ALCC from HYDE 3.1 data base and from Ruddiman and Ellis (2009)
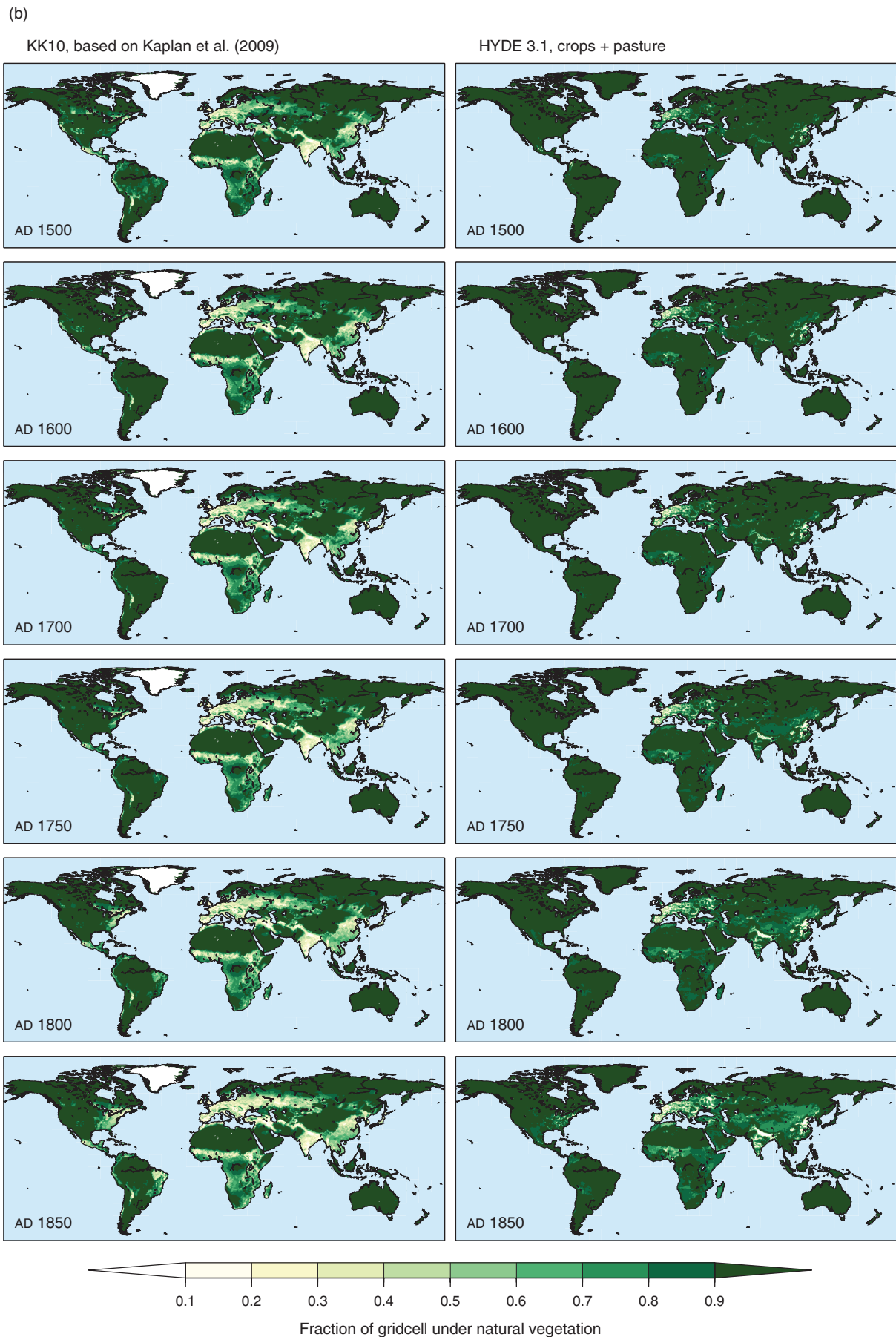
Figure 3.

(a)

KK10, based on Kaplan et al. (2009)   HYDE 3.1, crops + pasture
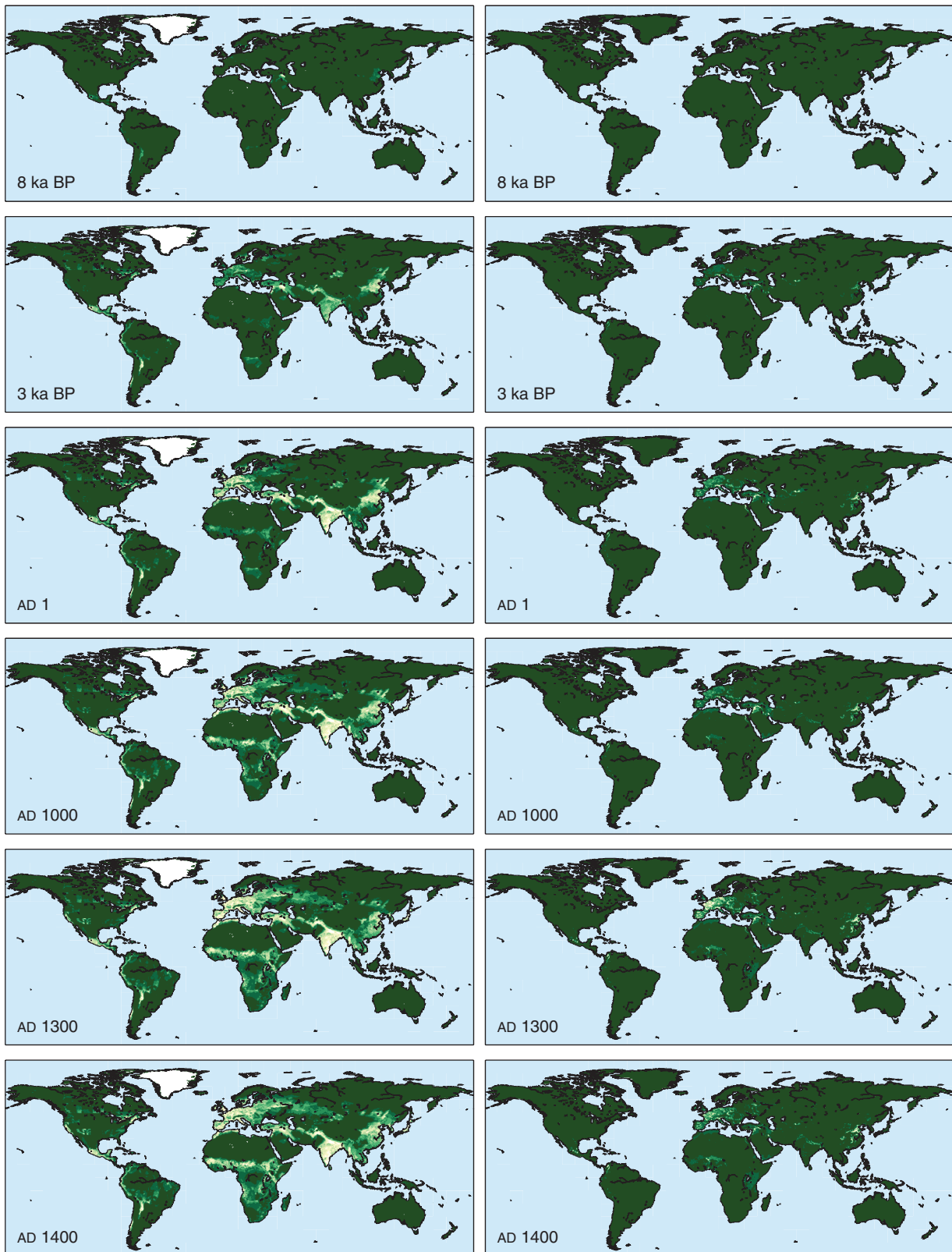
Fraction of gridcell under natural vegetation

Figure 3. *(Continued)*

(b)

KK10, based on Kaplan et al. (2009)   HYDE 3.1, crops + pasture

Figure 3. ALCC maps comparing the two scenarios used in this study, KK10 and HYDE 3.1, depicting the fraction of each gridcell under natural vegetation for the timeslices (a) 8 ka BP, 3 ka BP, AD 1, AD 1000, AD 1300, and AD 1400, and (b) AD 1500, AD 1600, AD 1700, AD 1750, AD 1800, and AD 1850

Figure 4.

(a)

KK10, based on Kaplan et al. (2009)   HYDE 3.1, crops + pasture

Net carbon loss (kg m^{-2}) = natural vegetation – ALCC scenario
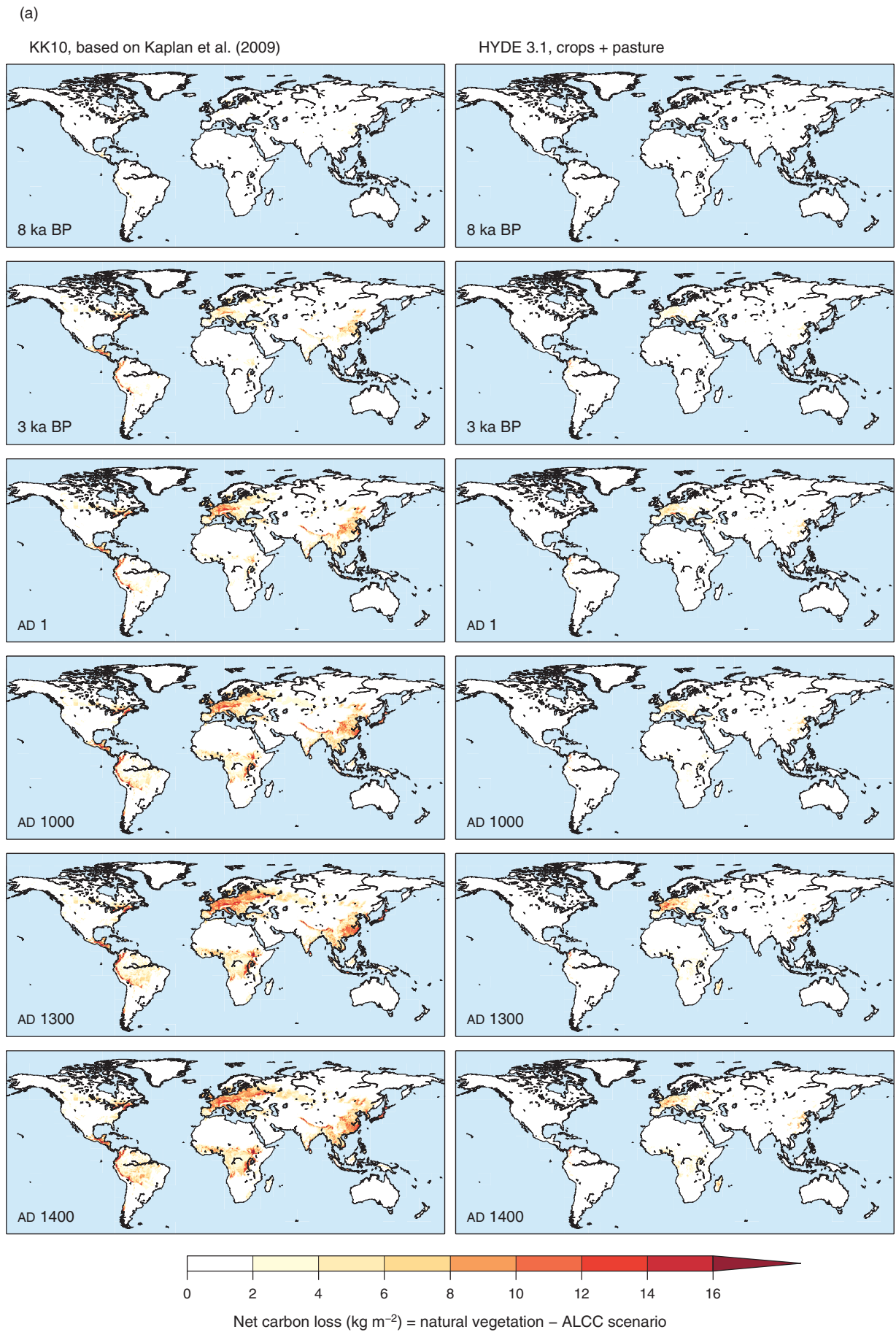
(Continued)

Figure 4. *(Continued)*

(b)

KK10, based on Kaplan et al. (2009)   HYDE 3.1, crops + pasture
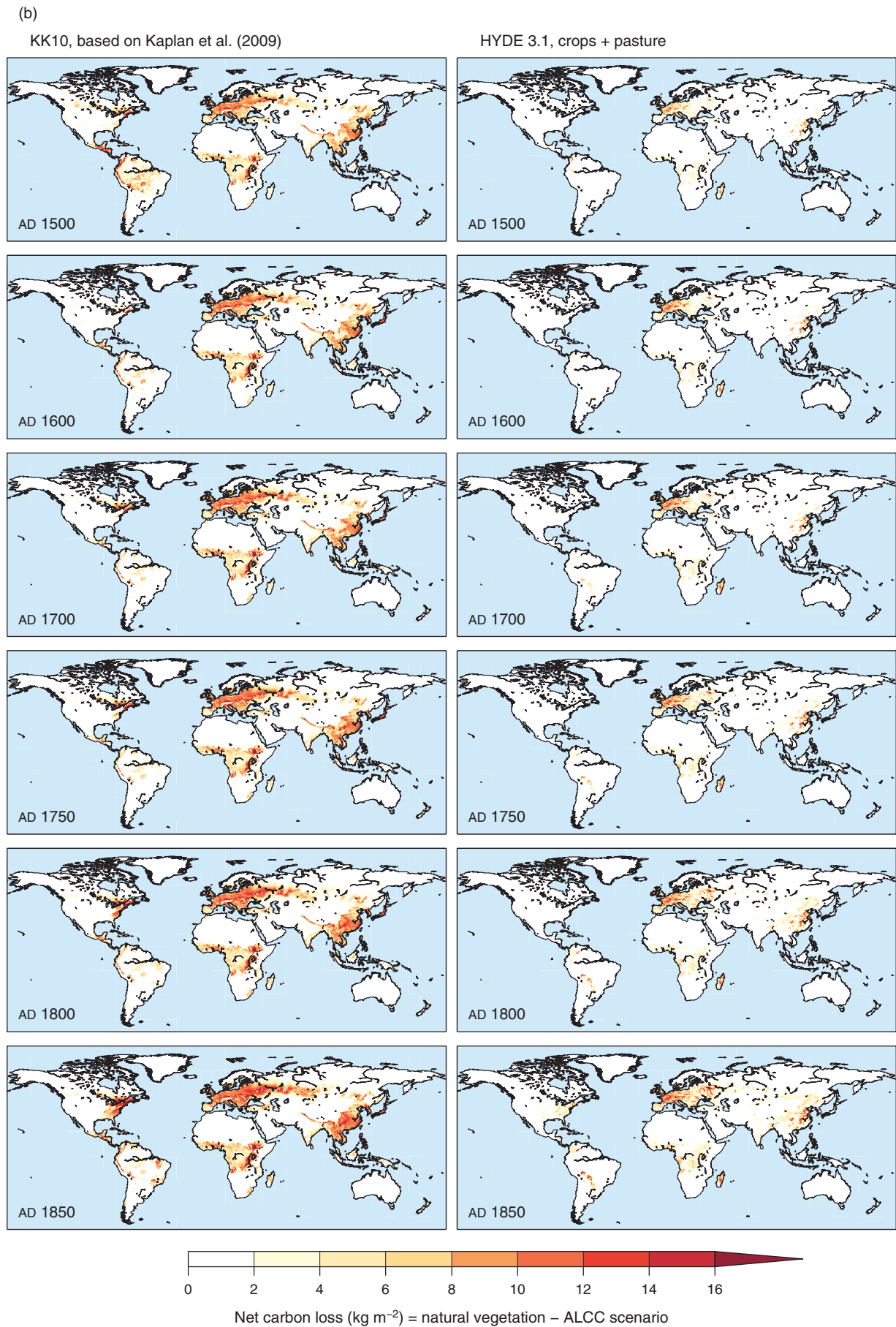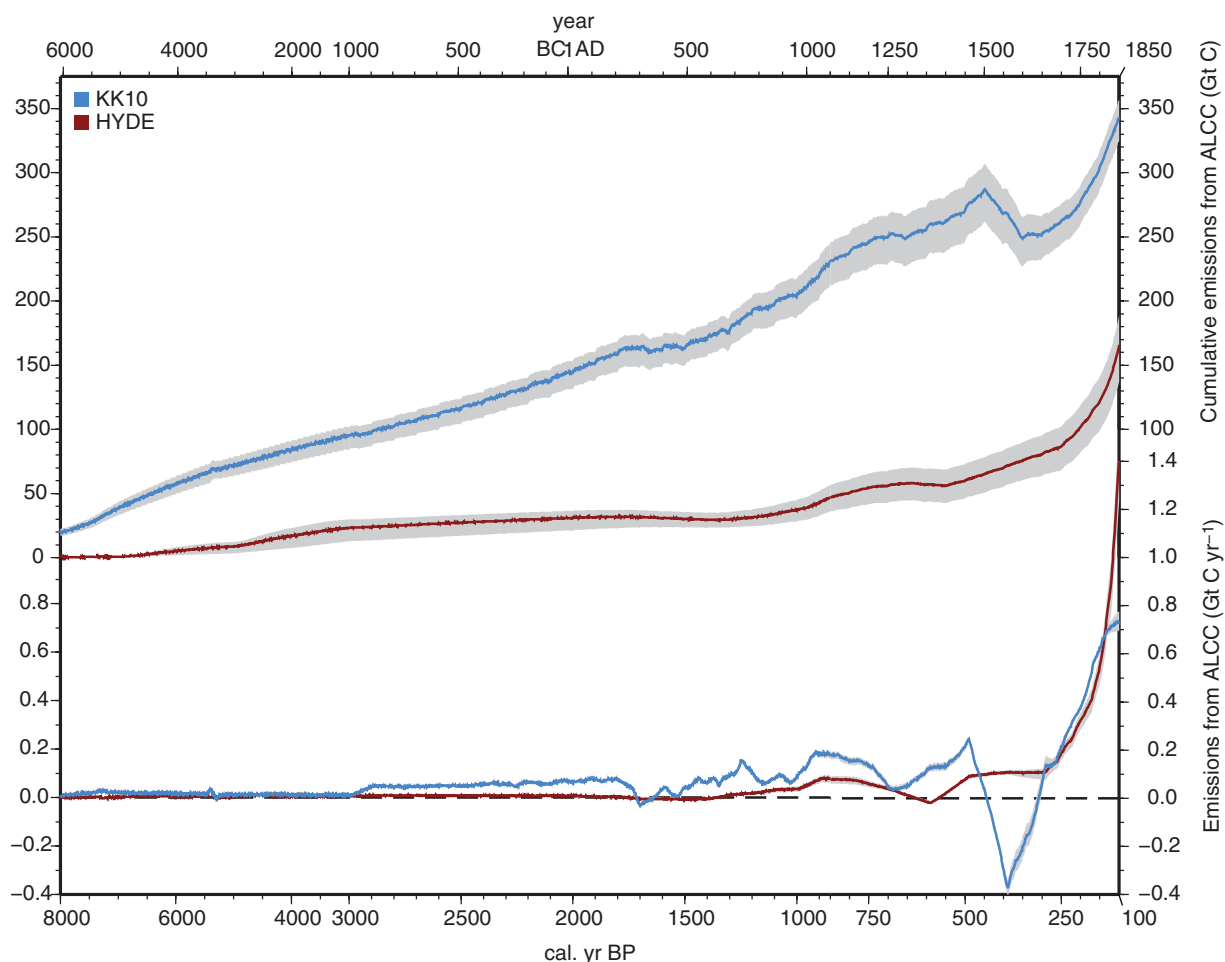

Figure 4. Maps of the difference in LPJ-simulated total terrestrial carbon storage between the ALCC scenarios and control run. Maps are for the same timeslices as those depicted in Figure 3

Figure 5. Cumulative carbon emissions (top; Pg C) and annual emissions (bottom; Pg C/yr) from ALCC resulting from LPJ DGVM runs using the KK10 and HYDE ALCC scenarios. The shaded gray area is represents the range from runs with 25- and 100-year agricultural rotations and no rotation, while the colored lines represent runs with a 50-year agricultural rotation

eastern coast of Brazil to be 40% to 50% under agriculture by AD 1850. The HYDE data set shows only limited patches of land clearance over the eastern half of the United States, and no ALCC in eastern South America. There is also disagreement between ALCC data sets at this time period in Southeast Asia, Eastern Europe and the Middle East, where substantially more ALCC is depicted in the KK10 scenario. At AD 1850, the KK10 scenario simulates ~21% of global land area (29.4×10^6 km²) under anthropogenic land use while in the HYDE scenario only 10% (14.4×10^6 km²) of land is used (Table 1; Figure S3).

Geographic distribution of changes in carbon storage

The amount of carbon emitted from ALCC in a region strongly depends on the vegetation that would exist there naturally. For example, at AD 1 on the Indian subcontinent, high levels of ALCC correspond with low levels of carbon loss in the southern part of the continent dominated by grassland or savanna, while lower levels of ALCC result in much greater carbon loss in the humid forest areas along southern foothills of the Himalaya (Figures 3a and 4a). Likewise, the relatively small amounts of ALCC in the densely forested landscapes around the St Lawrence Valley of North America and in Mesoamerica led to high amounts of carbon emissions as a result of ALCC (Figures 3 and 4; KK10 scenario). In the HYDE scenario, in all time periods, only Europe stands out

as a significant source of carbon emissions from ALCC (Figure 4a, b). In this scenario, other areas of the world, such as China and the Americas, only start to result in substantial amounts of ALCC emissions during the last centuries of the model run.

Global trends in carbon storage over the Holocene

The large dissimilarity between the ALCC data sets is reflected in the time series of anthropogenic carbon emissions produced by the DGVM for each scenario. In Figure 5 the difference in terrestrial carbon storage between the ALCC scenarios and control run (with no ALCC) is presented. Cumulative carbon emissions from ALCC by AD 1850 range from 137 to 189 Pg (1 Pg = 1 Gt) for the HYDE data set and from 325 to 357 Pg C for the KK10 data set, depending on the land turnover time (Figure 5; Table 2). The effect of CO_2 fertilization is shown in Figure S2 as living biomass increased concurrently with the slow rise of CO_2 during the Holocene for the control scenario.

Generally, HYDE-based simulations show that the majority of ALCC carbon emissions occurred during 500 years prior to industrialization, whereas in the KK10 scenario emissions from ALCC are more gradual and show significance beginning at 8 ka. As a result, cumulative emissions caused by preindustrial ALCC are much lower in the HYDE scenarios as compared to the KK10 scenarios (Table 3). Additionally, the implementation of ALCC

Table 2. Cumulative carbon emissions from ALCC (Gt C) for the KK10 and HYDE ALCC scenarios for 25, 50, and 100-year agricultural rotations and no rotation

Year	Cal. yr BP	ALCC emissions (Pg C)							
		KK10				HYDE 3.1			
		25-yr rotation	50-yr rotation	100-yr rotation	No rotation	25-yr rotation	50-yr rotation	100-yr rotation	No rotation
6050 BC	8000	22	20	18	17	0	0	0	0
1050 BC	3000	102	95	90	84	30	23	19	13
50 BC	2000	154	145	139	132	36	31	27	21
AD 100	1850	166	156	150	143	37	32	28	23
AD 500	1450	179	169	162	155	35	30	26	24
AD 950	1000	218	204	196	187	45	37	33	28
AD 1473	477	298	279	267	254	76	62	55	48
AD 1600	350	265	249	239	227	90	76	67	61
AD 1850	100	357	343	334	325	189	166	151	137

Table 3. Total carbon stocks, carbon in living biomass, litter carbon, and soil carbon in global terrestrial ecosystems (Pg C) for the control scenario (natural vegetation), and the KK10 and HYDE ALCC scenarios for selected timeslices of the LPJ model runs. For the model runs with ALCC, values are means of 25, 50, and 100-year agricultural rotations and no rotation, and the range around the mean is shown in parentheses

Year	Cal. yr BP	Carbon stock (Pg)											
		Control				KK10				HYDE			
		Total	Living biomass	Litter	Soil	Total	Living biomass	Litter	Soil	Total	Living biomass	Litter	Soil
6050 BC	8000	3276	794	338	2144	3257 (6)	782 (8)	336 (0.4)	2138 (2)	3276 (6)	794 (0)	338 (0)	2144 (0)
1050 BC	3000	3396	843	348	2205	3303 (18)	785 (30)	340 (2)	2178 (11)	3374 (18)	833 (8)	347 (0.3)	2194 (10)
50 BC	2000	3411	850	349	2213	3269 (22)	764 (38)	336 (2)	2170 (14)	3382 (22)	834 (11)	346 (1)	2203 (5)
AD 100	1850	3427	846	360	2221	3274 (23)	753 (40)	346 (3)	2175 (14)	3397 (23)	829 (11)	358 (1)	2211 (4)
AD 500	1450	3430	847	360	2223	3263 (24)	746 (44)	345 (3)	2172 (18)	3401 (24)	831 (11)	358 (1)	2212 (1)
AD 950	1000	3420	854	348	2218	3218 (31)	732 (51)	331 (3)	2155 (18)	3384 (31)	835 (12)	346 (1)	2203 (5)
AD 1473	477	3442	853	360	2229	3168 (44)	693 (58)	337 (3)	2137 (11)	3382 (44)	823 (16)	356 (1)	2203 (12)
AD 1600	350	3434	849	359	2226	3189 (38)	711 (55)	337 (3)	2141 (14)	3360 (38)	814 (17)	353 (1)	2194 (14)
AD 1850	100	3426	857	350	2219	3087 (32)	672 (51)	319 (3)	2096 (15)	3266 (32)	785 (24)	337 (2)	2144 (30)

Table 4. Preindustrial and Industrial carbon emissions (Pg C) due to ALCC comparing this study with other published results

Land use simulation	Pre-1850
	Pg C
Olson et al. (1983)[a]	240–540
DeFries et al. (1999)[b]	48–57
Strassmann et al. (2008)	94
Olofsson and Hickler (2008)	114
Pongratz et al. (2009)	63
Stocker et al. (2010) (HYDE)	50
Stocker et al. (2010) (X1)	153
Kaplan et al. (this study) (KK10)	325–357
Kaplan et al. (this study) (HYDE)	137–189
Lemmen (2009)	54
$\delta^{13}CO_2$ Mass Balance	
Elsig et al. (2009)	50
Ruddiman et al. (forthcoming)	310–395

[a] Estimate of 'natural vegetation' of a pre-agricultural world minus late twentieth-century vegetation.
[b] Based on conversion of vegetation reconstructions of Matthews (1983) and Leemans and Cramer (1991).

rotation has the effect of increasing emissions slightly, but the overall trend of carbon emissions stays the same (Table 2, Figure 5). As the carbon emissions resulting from a land use rotation of 50 years are intermediate between no rotation (continuous cultivation of the same plot of land) and a 25 year rotation time, we describe these results here as our standard scenario.

LPJ runs using the KK10 data set compared with the control (natural vegetation) scenario indicate that ALCC emissions prior to 3 ka ranged from 84 to 102 Pg C (Table 2, Figure 5). However, when using the HYDE scenario, LPJ simulates only 13 to 30 Pg carbon emissions due to ALCC over this entire time period. The HYDE scenario appears relatively stable at roughly 30 Pg C cumulative emissions from ALCC (3400 Pg C total in global terrestrial ecosystems; Table 3) from 1000 BC until ~ AD 1000, where emissions from ALCC finally start to increase slightly (Tables 2 and 3, Figure 5). Emissions from ALCC simulated with the KK10 scenario increase continuously from 8 ka until roughly AD 200, where there is a 300 year period of stable or slightly decreasing emissions, followed by increasing emissions to ~ AD 1350 where there is another short period of uptake coincident with the Black Death epidemic in Europe. Slight dips in emissions during these two European events are also visible in the HYDE results.

After AD 1350, ALCC emissions increase in the KK10 scenario to AD 1500, when the collapse of indigenous populations in the Western Hemisphere led to widespread land abandonment. The following century between AD 1500 and 1600 is marked by a ~ 40 Pg uptake of carbon into the terrestrial biosphere. Rates of carbon sequestration into the terrestrial biosphere reach more than 0.3 Pg C/yr (Figure 5) in the KK10 scenario. No uptake of carbon is evident, at least on a global scale, in the HYDE-based scenarios

for this time period (Figure 5). During the last 200 years of our model runs (AD 1650 to 1850) carbon emissions reach similar rates for both ALCC data sets (Figure 5), as total emissions reach 166 Pg C for the HYDE scenario and 343 Pg C for the KK10 scenario. The KK10 scenario runs result in a much higher total preindustrial emissions because of the larger magnitude of prehistoric ALCC emissions.

Table 4 presents preindustrial emissions from ALCC from a number of other studies. The carbon emission numbers derived in this analysis are substantially higher than those from most previous simulations. For the preindustrial era, previous estimates have ranged between 48 and 153 Pg C, whereas our simulations based on the KK10 and HYDE data sets indicate that releases of 325–357 Pg C and 137–189 Pg C could have occurred, respectively.

Discussion

Large differences between the two ALCC data sets in this study highlight the remarkable amount of uncertainty in estimating the magnitude and overall trend of Holocene ALCC. Though both methods rely heavily on population data to estimate ALCC, the <1 ha per capita preindustrial (before AD 1850) values implemented by HYDE result in conservative land use estimates, whereas the non-linear relationship used to create the KK10 data set implies a Boserupian view of fast expansion of anthropogenic land use at low population densities, with progressive intensification of land use as population pressure increases (Kaplan et al., 2009; Ruddiman and Ellis, 2009). Per capita land use estimates in KK10 are significantly higher than those of HYDE, with rough estimates by Ruddiman and Ellis (2009) providing a middle ground (Figure 2). The two methods used in this study result in ALCC estimates that are strikingly different (Figure 3), and portray an upper bound (KK10) and a lower bound (HYDE) to the range of uncertainty that exists for Holocene ALCC.

Much of the uncertainty around the extent of past land use comes from the lack of knowledge about the magnitude and distribution of the global human population and the time course of technological evolution and intensification. While global population estimates at 3 ka differ by more than a factor of four (range 50–225 × 10[6]; see, e.g. Boyle et al., 2010, this issue; Dearing, 2006), estimates of the level of intensity with which humans use land are also highly uncertain. In the following sections, we discuss our results in the context of previous attempts to quantify Holocene ALCC carbon emissions, both from modeling and top-down estimates and we provide evidence to support our model simulations based on the ice core record of atmospheric CO_2 concentrations and $\delta^{13}CO_2$. Finally we assess the possibility of evaluating our simulations in light of the paleoecological and other proxy records.

Uncertainities and comparisons with other studies

The differences in estimates of preindustrial emissions between the KK10 scenario and other studies (Table 4) result mainly from higher amounts of prehistoric land use simulated in this ALCC scenario, but could also result from a number of other factors, including differences in estimates of the size of past human populations (see, e.g. Boyle et al., 2010, this issue), and variability in the carbon density of natural vegetation in regions where natural forests were converted to agriculture. In general, previous estimates of preindustrial carbon emissions from ALCC appear to be substantial underestimates resulting from the assumption that per capita land use has remained constant for the past 8000 years, an assumption not in agreement with observations (Boserup, 1965, 1981; Chao, 1986; Ellis and Wang, 1997; Ruddiman and Ellis, 2009; Ruddiman et al., forthcoming).

Dissimilarities between our results using the HYDE land use scenario and other studies based on HYDE (e.g. Strassmann et al., 2008) can be attributed to our choice of driver data sets and the modified LPJ setup described in the section 'Model configuration for ALCC simulations'. We also used a higher spatial resolution for simulations (0.5°) than some prior HYDE-based studies (e.g. 3.75° × 2.5°; Strassmann et al., 2008), avoiding errors caused by spatial averaging in heterogeneous regions (coastal, mountains, etc.). Our modeling system differentiates between pristine lands and lands recovering from previous ALCC; including this regrowing fraction causes greater carbon emissions earlier in time, as more of the land area is (or has been) under human influence at any given time. In contrast, our treatment of pastureland use could result in overestimates of ALCC emissions. Here, 100% of woody vegetation is removed on pastureland; leaving residual patches of natural vegetation, e.g. in a low intensity pasture landscape, would result in lower overall emissions, though we expect this effect to be much smaller than the effects of shifting cultivation and progressive land degradation. Also, the updated soil and climate data sets (supplementary methods) used in this study could lead to differences in natural carbon compared with other studies, though these effects are likely to be small over the long timescales considered here (Jung et al., 2007).

A substantial reason for the range of global carbon change estimates is uncertainty in assessing how much carbon is stored in 'natural' vegetation (Olson et al., 1983). For example, an early synthesis by Bazilevich et al. (1971) cites a global value of 1080 Pg in living biomass under conditions undisturbed by humans. A number of more recent modeling studies that ignore preindustrial ALCC resulted in simulations of peak Holocene terrestrial C storage (plants and surface soils) of 1600–2500 Pg (Cramer et al., 2001; Kaplan et al., 2002; McGuire et al., 2001; Sitch et al., 2003), with about one-third of total C stored in living biomass. Olson et al. (1983) point out that modern forests are likely to be degraded carbon-poor versions of pristine forests. Of the few 'natural' forest patches that remain, most have survived because they were in terrain too remote, steep, or otherwise uneconomical to be cut, and their carbon density would thus be lower than in the more productive lowland soils used first for agriculture and pasture (Ellis and Ramankutty, 2008). Other forests in parks or forest reserves were previously cut and, in the absence of management, would take centuries to recover to a natural state (Hermy and Verheyen, 2007). Still others have been degraded by humans seeking firewood or by frequent incursions of livestock.

Anthropogenic deforestation, followed by soil erosion, and land degradation, may have even resulted in irreversible ecological shifts and influenced regional climate patterns. For example, in the summer-monsoon regions of southern Asia, ALCC is hypothesized to have been responsible for a weakening in recycling of moisture by evapotranspiration, and a subsequent reduction in carbon density in the surviving woodland patches (Takata et al., 2009).

In the Mediterranean region, ALCC may have also resulted in regional climate changes and shifts from forest to shrubland vegetation even in areas that were later abandoned (de Beaulieu et al., 2005; Dümenil Gates and Ließ, 2001; Grove and Rackham,

2001a; Tinner et al., 2009; Van der Knaap and van Leeuwen, 1995). This effect of land degradation preventing the establishment of climatically potential natural vegetation is not handled in the current modeling study, though all of the abovementioned effects would lead to increased cumulative ALCC emissions over the Holocene. In this sense, our estimates of ALCC emissions may still represent an underestimate, particularly for those regions of the world where long-term land degradation is observed, e.g. the Mediterranean basin (Grove and Rackham, 2001b).

Aside from sequestration of carbon in peatlands (Yu, forthcoming), natural changes in terrestrial carbon storage over the Holocene would have been small compared with anthropogenic effects. The expansion and contraction of the Afro-Asian monsoon system did not result in significant afforestation, rather being a dynamic between desert and sparsely vegetated steppe and xerophytic shrubland (Brovkin et al., 2002; Hoelzmann et al., 1998). In other parts of the world, climatic influences on vegetation cover were small (Wanner et al., 2008), and globally terrestrial ecosystems were generally carbon neutral between 8 ka and preindustrial, with respect to climate (Figure S2; Kaplan et al., 2002).

In simulations with the KK10 data set, a significant uptake of carbon as a result of ALCC (~40 Pg) is observed following European contact with indigenous populations of the Western Hemisphere (*c*. AD 1500 to 1600). A study by Pongratz et al. (forthcoming) rejects the hypothesis that historical events such as the demographic collapse of populations in the Americas substantially impacted atmospheric CO_2, because of (1) delayed temporal dynamics of regrowth, (2) increased soil respiration from past ALCC, (3) continued ALCC in other parts of the world, and (4) counteracting responses of global carbon pools. However, according to the KK10 scenario, Central and South America were already highly deforested by 3 ka (Figure 3), and most of the soil carbon from the original forests would have decomposed long before European contact, especially in the tropics where decomposition is fast (Chambers et al., 2000; Trumbore, 2000). Though forests in temperate regions of North America took longer to recover (Denevan, 1992), in the high productivity regions of tropical America, forest growth progresses quickly, especially during early stages of growth, some species fixing more than 10 tons of carbon/ha per yr (Faust et al., 2006; Riaño et al., 2002). Lastly, the massive regrowth of these forests would unlikely have been balanced by ALCC in other parts of the world because other areas with high population densities such as Europe and China, were highly deforested and experienced demographic and climatic crises during this period (e.g. the fall of the Ming Dynasty in China, 'Little Ice Age') which also resulted in periods of afforestation.

The ice core record of Holocene CO_2 and isotope mass balance constraints on ALCC emissions

The ice core record of atmospheric CO_2 concentrations over the Holocene (Figure 1) displays a number of features that may be correlated with changes in the simulated record of ALCC emissions when using the KK10 scenario (Figure 5). Making a direct comparison between these records is incorrect, however, because our model simulations omit other major elements of the global carbon cycle that are known to have changed over the Holocene, including ocean chemistry, coral reefs, and peatlands. Nevertheless it is illustrative to note some of the periods of correspondence between the curves. The KK10 simulations show a rapid increase

in ALCC emissions between 8 ka and roughly 3 ka, corresponding with the increase in CO_2 concentrations over this time period. The simulated emissions of up to 100 Pg can explain just under half of the ~15 ppm increase in atmospheric CO_2 over this time period. We cannot directly assess the hypothesis that anthropogenic activities precluded glacial inception in the late Holocene (Ruddiman, 2007) but the ALCC emissions we simulate would likely have contributed to the increase in CO_2 concentrations during the key time period after 8 ka when insolation forcing favored a return to glacial conditions.

Between 3 ka and about AD 300 (1.65 ka) ALCC emissions continue to rise steadily and at a higher rate compared with the earlier Holocene (Figure 5), while very little variability is observed in atmospheric CO_2 (Figure 1). The small reduction in emissions in AD 300–500 (the Migration Period in Europe) may be correlated with a very small drop in atmospheric CO_2 concentrations around this time. More dramatic is the up to 10 ppm drop in CO_2 concentrations between AD 1500 and 1700 (MacFarling Meure et al., 2006); this corresponds closely to the time of maximum carbon uptake as a result of land abandonment in the Western Hemisphere following European contact as discussed in the previous section. The ~40 Pg uptake of carbon we simulate during this time period can explain some of the drop in CO_2 concentrations, and lends support to previous hypotheses on this topic (Nevle and Bird, 2008; Nevle et al., forthcoming; Ruddiman, 2005).

The carbon-isotope ($\delta^{13}C$) composition of CO_2 in ice-core air bubbles can be used to assess net emissions/storage of terrestrial carbon during previous millennia. Elsig et al. (2009) measured a small negative $\delta^{13}CO_2$ trend of −0.03‰ over the last 7000 years, although one of the two methods they used indicated a larger (~0.09‰) decrease. Based on carbon/CO_2 scaling from simulations with the Bern carbon cycle model (Joos et al., 2004), these $\delta^{13}CO_2$ trends imply net terrestrial carbon emissions of ~40–125 Pg from 7 ka to the start of the industrial era. Allowing for the effects of other natural factors (CO_2 fertilization, monsoon-related releases and storage of carbon, and carbon storage in peat), Elsig et al. (2009) estimated Holocene ALCC emissions of ~50 Pg C. This amount, equivalent to a CO_2 increase of 3.5 ppm, agrees with several of the estimates presented in Table 4. However, the assessment of Elsig et al. (2009) allows for only 40 Pg of carbon storage in boreal peats over the last 7 ka, which has large implications for the allowable amount of ALCC emissions under isotope mass-balance constraints. Several studies suggest that this figure for Holocene peat accumulation could be a significant underestimate.

Bottom-up attempts to estimate the amount of carbon sequestered in peats over the Holocene result in a published range of 250–450 Pg C (Frolking and Roulet, 2007; Gajewski et al., 2001; Gorham, 1991; MacDonald et al., 2006; Yu, forthcoming). These studies are based on syntheses of basal age of peats and simple to complex process modeling of peatland development. Yu (forthcoming) estimated that ~270 Pg C were stored in boreal peatlands during the last 7000 years, consistent with estimates by Gorham (1991) and Gajewski et al. (2001). Ruddiman et al. (forthcoming) noted that this estimate would leave an unexplained difference of ~310–395 Pg C between the large net burial of carbon in peats and the small net carbon release indicated by the $\delta^{13}CO_2$ signal. The only remaining explanation for this unaccounted-for carbon appears to be anthropogenic emissions. All of the preindustrial estimates listed in Table 4 fall well short of explaining this 310–395 Pg residual except the 325–357 Pg C estimate we make here using the KK10 ALCC scenario as a driver. The small late-Holocene $\delta^{13}CO_2$ decrease that has previously been

interpreted as favoring a very small (~50 Pg) input of anthropogenically derived terrestrial carbon during the last 7 ka needs to be re-examined. If almost 300 Pg of terrestrial carbon was sequestered in peatlands over this time period, explaining a net release of ~40–125 Pg of terrestrial carbon requires anthropogenic emissions far larger than the 50 Pg C value used by Elsig et al. (2009).

Evaluation of the ALCC scenarios

The lack of continental- to global-scale syntheses of evidence for human impact on the Earth's land surface makes it difficult at this point to perform anything more than a superficial, qualitative evaluation of our ALCC scenario results. Developing a continuous synthesis of global human impact at the regional level is currently a major focus of interdisciplinary research coordination, e.g. through the AIMES/PAGES IHOPE program. These ongoing efforts should be combined with existing surveys of human impact over the Holocene using multiple proxies (e.g. Dearing, 2006; Gaillard et al., 2010; Hellman et al., 2008; Sugita, 2007a, b), and with archaeological data syntheses (e.g. Weninger et al., 2006; Zimmermann et al., 2004) that have traditionally been overlooked by the natural science community. At the site scale, there is considerable paleoecological and archaeological evidence for early extensive human land use in, e.g. Andes (Chepstow-Lustry and Winfield, 2000), Mesoamerica (Pohl et al., 1996), Europe (Tinner et al., 2007), and China (Ren, 2000). While syntheses of charcoal records from remote areas of the globe attribute Holocene trends in biomass burning to climatic changes rather than ALCC (Marlon et al., 2008; Power et al., 2008), other regional syntheses (e.g. McWethy et al., 2009; Nevle and Bird, 2008) show that biomass burning correlates strongly with the rise and fall of human societies.

Future perspectives and conclusions

Holocene carbon cycle modeling can be improved in multiple ways to achieve more accurate quantification of carbon balances throughout history. Differentiating among different types of land use systems (e.g. Ellis and Ramankutty, 2008; Verburg et al., 2009), would improve the quality of our carbon budget simulations by allowing us to simulate a more diverse suite of land use patterns (e.g. managed and unmanaged rangelands, managed forests). A future implementation of our ALCC model should have a spatially variable scheme for land rotation based on climate and soil fertility, so that in places where soils are exhausted more quickly people are forced to use more land, implementing, e.g. a short 3-5 year rotation-time shifting cultivation in the tropics. Also, accounting for improvements in technology (e.g. the development of irrigation or heavy steel plows) by having a spatially and temporally dynamic agricultural land suitability data set would allow us to better simulate the spatial distributions of land use over time.

Running the DGVM with a transient Holocene climate scenario, either modeled or reconstructed, could help improve the realism of the simulations by accounting for the shifts in terrestrial C caused by climate change, e.g. following the Holocene development and subsequent collapse of the 'green Sahara' or the advance and retreat of the polar timberline. Ultimately, when used in a coupled mode, our ALCC scenarios would allow investigation of feedbacks between climate, carbon and land use and the

potential to address questions on human-induced irreversible ecosystem shifts caused by perturbations to the vegetation–climate system. Finally, a number of efforts are currently underway to improve the functionality of DGVMs to more accurately model global carbon exchanges, e.g. by including a representation of peatland dynamics or using more plant functional types. All of these improvements will help achieve more realistic modeling designs towards the central goal of obtaining a more precise representation of past carbon cycles. This will contribute to a better understanding of the role of humans in the earth system and the present and future states of the global carbon cycle.

ALCC models that include land use intensification in response to population pressure and improvements in agricultural technologies (Ruddiman and Ellis, 2009) result in much higher estimates of ALCC and carbon release in prehistoric times than do studies that assume relatively constant per capita land use based on twentieth century values. As a result, our estimates of ALCC-driven carbon emissions are substantially higher than previous studies. However, considering the range of estimates for carbon sequestration in peats during the Holocene, our ALCC emission estimates are compatible with the $\delta^{13}CO_2$ record, and allow us to explain Holocene carbon dynamics that cannot be attributed to other natural processes.

Carbon emissions as a result of anthropogenic land use over the preindustrial Holocene could have had a very substantial impact on the global carbon cycle. Even before 1000 BC (3 ka), up to 102 Pg of carbon could have been emitted into the atmosphere caused by human land use. This amount of carbon could have contributed to, but cannot fully explain, the increase in atmospheric CO_2 that occurred over the middle Holocene. By AD 1850, this amount increases to roughly 360 Pg, which equates to a ~25 ppm increase in atmospheric CO_2. In this sense, our results favor far larger early anthropogenic carbon emissions than previous estimates (which are a factor of 3–7 smaller). This is a substantial fraction of the amount posed in the original early anthropogenic hypothesis (Ruddiman, 2003). As a result, the world is much warmer than it would otherwise be.

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