



7 August 2006

Dr. Yu Liu
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Dear Dr. Yu Liu,

I apologize for not getting this back to you sooner but my summer has been especially busy with travel and fieldwork. The amount of work this manuscript represents is impressive. On behalf of the Tree-Ring Society, we appreciate your considering the journal *Tree-Ring Research* as the outlet for your research.

I and two reviewers concur that the manuscript may be acceptable for publication in the journal *Tree-Ring Research* after major revisions that also require considerable improvement of the English used. Many of our suggestions are simply editorial, but a few are more substantial and will require **careful** thought and adjustments in the presentation of the material. If possible, I suggest a native English speaker help with the revision of the manuscript.

Reviewer #1 and I had a similar concern in that the title of the paper implies considerable interpretations of the EASM **front** and its changes in the past. I noted that in the Conclusions section, the EASM is not interpreted at all and Reviewer #1 notes how vague the interpretations are of the EASM in the body of the manuscript. The paper concentrates on precipitation reconstructions for which you give excellent interpretations on drought and wet periods, but little information on changes of the EASM **front** are given. These interpretations should be clearly given in a paragraph in the discussion or conclusions sections.

Please revise the manuscript and incorporate the changes in style and grammar suggested by the two reviewers and myself, and return the manuscript to me directly. In a separate cover letter, please indicate how **all** points raised by the two reviewers and myself were addressed. If you have any questions about the comments we're supplying, please do not hesitate to contact me. Again, thank you for considering *Tree-Ring Research* as the outlet for your research.

Sincerely,

Henri D. Grissino-Mayer, Ph.D.
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Comments from the Associate Editor:

Note: numbering your lines greatly facilitates the review process.

Throughout manuscript

____ 1. Please make sure to list the authority with all Latin binomials the first time each species is listed, **e.g.** "*Pinus tabulaeformis* Carriere."

____ 2. Please remove the figure and table "placeholders" within the manuscript as these are not needed. The text of the manuscript needs to flow and these placeholders break the continuity for the reviewers.

____ 3. I annotated the figure captions as I came upon them in the body of the manuscript. Please revise these and place them again on one page at the end of the manuscript just before the figures themselves.

____ 4. Convert all ~ symbols to en-dashes (–). The tilde (≈) has specific mathematical meanings and should not be used to indicate approximate values or ranges of years.

____ 5. For ranges of numbers (and years), please convert all hyphens (-) to en-dashes (–), especially in the citations section for ranges of pages. The en-dash should also be used for negative values, **e.g.** "-0.30."

____ 6. In the tables and manuscript body, please round off all values to just two decimal places, when reported.

____ 7. Throughout, use a lowercase "**n**" to indicate **sample** size ("n = 317") and a lowercase "p" for probability ("p < 0.05"). The Editor-in-Chief, Dr. Steve **Leavitt**, may allow use of an uppercase "P," however.

Page 2 Abstract

____ 8. The abstract should contain more information on interpretations about the EASM because the EASM was a major focus of this study.

Page 4

____ 9. You use only one reference for tree growth being limited by precipitation in arid areas (**Touchan** et al. 1999) but many more, significant studies should be listed here.

Pages 9–10

____ 10. In the bottom paragraph of page 9 and top paragraph of page 10, I have trouble understanding the logic of the physiological basis for the effects of seasonal precipitation on tree growth. Please re-write and **clarify** this relationship.

Pages 10 and 13

___ 11. At the bottom of pages 10 and 13, please list the equations only on a separate line centered. All supporting statistics should be reported in the subsequent paragraph. I'm also not sure what the significance of the "27E" and "27M" is in the reconstructed values.

Page 15

___ 12. Check the sample size for each n-value reported. For example, the years 1600–1979 should have an n-size of 380, not 379.

Page 16

___ 13. You have not yet defined what "Rt" and "Rm" indicate yet in the text. Readers should not have to go to tables to learn what abbreviations mean. Explain these in the text itself, not in the table.

Pages 22–23

___ 14. The EASM is not mentioned very much at all in the conclusions, yet this is supposed to be a major focus of this study. I suggest the authors interpret the reconstructions to make informed interpretations of the changes in the EASM that may have occurred during the **time** frame of this study (since AD 1627).

Page 31

___ 15. In Table 1, only values significant at $p < 0.05$ are listed, but you should also indicate values that are significant at the 0.01 and 0.005 or 0.001 levels as well.

Page 35

___ 16. For Table 6, please reformat this table so that the complex equations in the third column are all on one line to help the reader understand their meanings.

Page 36

___ 17. In Table 7, you have not yet explained what "**P-grade**" means in the body of the manuscript as the explanation comes later. The column headers can be simplified as I've indicated.

**TREE-RING PRECIPITATION RECORDS FROM INNER
MONGOLIAN, CHINA, AND THE EAST ASIAN SUMMER
MONSOON FRONT VARIATION SINCE A. D. 1627**

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ABSTRACT

Chinese pine (*Pinus tabulaeformis*) tree ring widths were used to reconstruct rainfall from February to early-July for the Wu Dangzhao region and from February to mid-July for the La Madong region, Inner Mongolia, China. The predictor variables account for 44.3% and 42.7% of the variance in the precipitation respectively. Both historical records and other tree-ring rainfall reconstructions from environmentally sensitivity zone, such as the northern Helan Mountain range and Baiyinaobao confirm our results. After applying a 10-year moving average, the trends of four tree-ring reconstructions vary almost synchronously. In general the periods of below normal precipitation could be found over the 1720s, 1760s, 1790s, 1840s, 1860s, 1890s, 1910s, 1920s, 1930s and after the late 1960s, while the periods of above normal precipitation occurred over the 1650s, 1710s, 1760s, 1780s, 1820s, 1830s, 1870s, 1880s, 1940s to early 1960s and 1990s.

All curves exhibit striking similarity of variation. The late 1920s was the most severe drought years in a large scale in north China for the last 374 years. This event was caused by the weak East Asian Summer Monsoon circulation. In contrast, the late 1990s ...

need more about EASM here

Keywords: Inner Mongolia, *Pinus tabulaeformis*, tree ring, precipitation reconstructions, the East Asian Summer Monsoon

INTRODUCTION

An environmentally sensitive zone defined by isolines of precipitation, temperature, and moisture (Zhou 1996; Fu *et al.* 1998) stretches from the northeast to the southwest of China (Fig. 1, shaded area in small inset map). This is the transition zone from semi-arid to arid conditions, namely monsoon to non-monsoon, with annual precipitation of 200 mm to 400 mm (70-90% of which is concentrated in the summer) and annual mean temperature of 5.5^o to 8.1^o. In the area north of 30^o the northwesterly wind is strong and dust storms occur 40 times per year on average with 72% occurring during March to May (Wang 1997). Seasonal dry and wet climates alternate due to frequent change of dry-cold air masses from the Arctic or high latitudes in the winter (East Asian Winter Monsoon, EAWM), and warm-humid air masses from the equatorial or low latitude oceans in the summer (East Asian Summer Monsoon, EASM).

^{Because} ~~Since~~ annual total precipitation is strongly influenced by the ^{lowercase} Summer Monsoon, the rainfall in this region shows large year-to-year variations that are sensitive to the location, timing, and intensity of the Summer Monsoon (Wang 1990). If the ^A subtropical high pressure is strong and stable, the Summer Monsoon extends further north (strong EASM) resulting in above normal rainfall. On the other hand, drought years usually occur when the subtropical high pressure is weak and stays south (weak EASM).

Agriculture is the traditional primary industry in monsoonal China and the harvest is largely affected by rainfall. Unfortunately, frequent drought and flooding caused by monsoon precipitation can result in loss of lives and tremendous property damage. Therefore, it is very important to recognize the behavior of the EASM in the past in China (Fu and Zeng 1997).

Numerous studies concerning the Asian monsoon, including inter-seasonal and inter-annual variabilities, have been carried out. Recent studies indicate that the Asian ^{lower case} Monsoon system is characterized by a high rate of climate change within all time scales (Qian and Lee 2000). These changes are manifested by seasonal fluctuations, high inter-annual and inter-decadal variability and abrupt changes between climate regimes (Fu and Zeng 1997). However, it was impossible to study climate change and annual variation on a long-term scale ^{time because} ~~since there has been a lack of~~ long-term data ^{are lacking that} ~~which~~ could be used to study the EASM (Shi *et al.* 1996).

Abundant Chinese historical documents provide much information for paleo/^Aclimate research, especially in Asian monsoon research. A ⁵⁰⁰ ~~five-hundred~~-year-long series of annual dryness/wetness indices (Academy of Meteorological Science 1982) and cold/warm intervals (Zhang 1996) ^{was} ~~were~~ generated from analysis of these documents.

Another high-resolution record ^{that} ~~which~~ could be used in the ^{study of the} ~~Asian monsoon study~~ is tree rings. In dry areas where tree growth is limited by precipitation, tree rings can be used to reconstruct rainfall (Touchan *et al.* 1999) to more accurately portray the dry-wet variations of the past. It has been demonstrated elsewhere that tree rings from the mountains of such regions may contain an accurate record of past variations in precipitation in the variation of their widths, including sites towards the arid limits of tree growth (Fritts 1976, 1991; Hughes *et al.* 1994). Thus, an opportunity is presented to derive information on the past behavior of certain aspects of the monsoon in the case of this sensitive zone in China. Such information will be of great value in understanding the natural variation of the EASM on interannual to multidecadal timescales and ~~so~~ could contribute to the development of an improved capacity to anticipate its future variations.

need more citations here

This paper discusses monsoonal rainfall reconstructed from tree rings in central Inner Mongolia. These reconstructions cast light on the variations of the EASM during the past 350 years.

DATA AND METHODS

Sites Descriptions and Sampling

Two sampling sites, Wu Dangzhao (WD, 4048- 50N and 110°18.5-20.5E), and La Madong (LM, 4046- 47N and 111°6- 17.5E) were located ^{on} ^{the} south facing slope of ^{the} Yin Shan Mountains ^{at} the northern margin of ^{the} EASM (Figure 1). The elevation of the WD site ^{is} range ^{from} 1500 m to 1800 m while the LM site range ^{is} from 1300 m to 1600 m. The Yin Shan Mountains (2300 m at the peak of Mt. Da Qing) stretch from east to west in central Inner Mongolia. This is an important boundary between temperate grassland and desert scrub and is also the boundary of agriculture-pasture land and pure rangeland. The Ulaanchabu Plateau is north of these mountains and the Tumote-Dalarlte Plains lie to the south. Severe and frequent droughts are the most serious threat to crops and animal husbandry (Wu 1991).

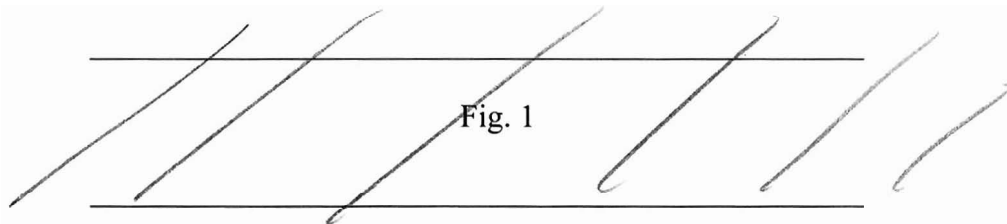


Figure 1. Location of the sampling sites (A), meteorological stations (a) and other two comparison tree-ring sites, Baiyinaobao and the north Helan Mountain. The shaded area in the ^{of China} whole China map (inset in ~~lower~~ right) indicates the environmental ^{ly} sensitivity ^a zone.

Please put figure captions together on separate page before the figures -
Thanks

Because of both natural impacts (such as drought) and human activity (logging and wars) trees rarely exist on the exposed southern slopes of the Yin Shan Mountains but trees are relatively more abundant on the northern slope.

Keep together
 On these sites vegetation is sparse and Chinese pine (*Pinus tabulaeformis*) is the dominant tree species, although a few *arborvitae* (*Platycladus orientalis*) and *juniper* (*Juniperus rigida*) and *Asian white birch* (*Betula platyphylla*) occur. Most of the Mountains have nutrient-poor soil and are covered by sparse vegetation (Figure 2). The sites are very open, with 30 m to 100 m between trees and a discontinuous canopy. We collected Chinese pine trees from both sites. The WD site has a nutrient-poor soil layer about 5-10 cm deep. In the LM site, most of trees grow on the rocks. Two increment cores from each of 65 trees were collected from the WD site. The oldest core sampled has 283 annual rings. Two cores from each of 55 trees were collected from the LM site and the oldest core back to 1525 AD. Cores were collected in the summer 1997 and 2001 separately.

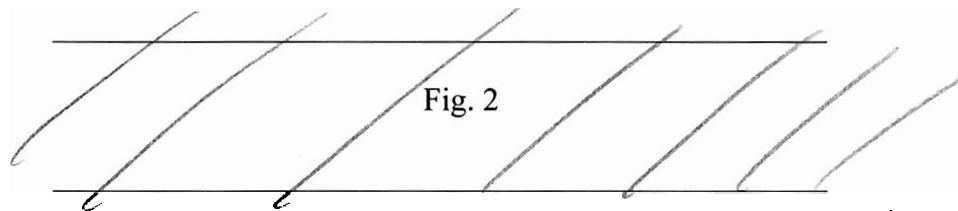


Figure 2. The typical sampling condition in the La Madong site. Most of trees we collected grow on the rocks. (Photograph by Yu Liu).

Chronology Development

In the laboratory tree-ring samples were prepared, cross-dated, and measured following standard practices (Stokes and Smiley 1996; Holmes 1986). Cores with any ambiguities of cross-dating were excluded from further analysis. The rate of absent rings

the was 1.16% in
in WD group is 3.13%, and LM group is 1.16%.

The individual ring-width measurement series were detrended and standardized to ring-width indices by using ARSTAN (Cook and Kairiukstis 1990; Dendro-chronology Program Library, <http://www.ltrr.arizona.edu/software.html>). Undesirable

growth trend labeled to age and age-related stand dynamics-related growth trend, which were removed from each series during the detrending process. To conserve the maximum

common signal at the lowest frequency possible, each ring-width measurement series of each individual core was standardized with only conservative negative-exponential or straight-line fitting. The individual radial series were combined into a single chronology using a bi-weight robust mean. Sub-sample signal strength (SSS) was used to assess the adequacy of replication in the early years of the chronologies (Wigley *et al.* 1984).

~~in order to extend the tree-ring chronologies longer and to ensure the reliability of the reconstructed climate,~~ we restricted our analysis to the period with SSS of at least 0.75.

This threshold corresponds to a minimum sample depth of 2 series (trees) for WD. The ring-width chronology from the WD site extends from 1734 to 2001. Meanwhile a minimum sample depth of 5 series (trees) for LM site extends from 1627 to 2001. The statistical features of standard series are listed in Table 1

Table 1. The statistical characteristics of both WD and LM chronologies

Table 1

no need for this - keep manuscript together

Table:

Table 1 ~~The~~ statistical characteristics of ~~both~~ ^{the} WD and LM ⁽chronologies.

Statistic	LM	WD
Mean sensitivity	0.29	0.47
Standard deviation	0.44	0.52
Skewness	0.44	1.12
Kurtosis	2.77	2.91
First order autocorrelation	0.51	0.46
Mean correlation between all series	0.33	0.62
Mean correlation between trees	0.32	0.62
Mean correlation within a tree	0.41	0.63
Expressed population signal (EPS)	0.82	0.97
% Variance in 1" PC	36.6%	63.37%
SSS period (>0.75)	1627-2001	1734-2001
% missing rings	1.16	3.13

The WD chronology ^{is} based on 69 cores from 40 trees covering the period AD 1719 to 2001.

Common interval analyses were performed on 45 series from 33 trees for the period 1880

to 1987. ^{The} LM ^{is} chronology ^{is} based on 60 cores from 50 trees covering the period AD 1525 to

2001. Common interval analyses were performed on 38 series from 33 trees for the period

1837 to 1988.

please use en-dashes in all ranges of numbers

Meteorological Data

and has no missing data, the

Shiguai (1961-1990, 1100 m elevation at 40°46'N and 110°23.5'E) and Hohhot (1951-2001, 1063 m elevation at 40°49'N and 111°41'E) meteorological stations were used in the tree-ring climatic response analysis. Homogeneity was tested by both double-mass analysis, a graphical technique (Kohler 1949) and the Mann-Kendall (Mann 1945) statistical method.

The Baotou (1951- 2001) station was used as a reference. The test was done by using computer programs in the Dendrochronology Program Library (<http://web.ngdc.noaa.gov/paleo/softlib.html>). The results show that Shiguai and Hohhot have no inhomogeneity. Figure 3 shows the seasonal distribution of precipitation for three stations. It should be noted that the Shiguai station was removed in 1991.

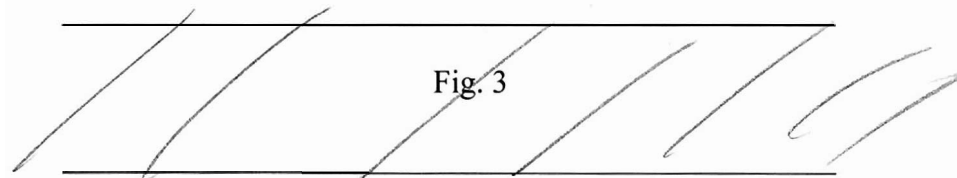


Figure 3. Average monthly precipitation values from the Shiguai(●), Hohhot(■) and Baotou(A) meteorological stations in the region of the sampling sites.

Identification of Climate Response

Ecological site observations and comparison with instrumental rainfall records (see below) indicate that the ring widths in the site are sensitive to precipitation variation. The typical growing season in this area is from late-March to early October (Inner Mongolia Forest Agency 1995).

In this study, we used non-overlapping 10-day mean temperature and total precipitation data from ^gthe prior September to current October from both ^{the} Shiguai and Hohhot stations.

The correlation function analysis results indicated that tree-ring width shows a weak response to temperature and a strong response to precipitation. Tree growth is especially influenced by precipitation from February to early-July (July 10) at WD, and February to mid-July (July 20) at LM (Table 2). The correlation between the chronology from WD and total precipitation from February to early-July (July 10) is significant, with $r=0.60$ ($n=30$, $p<0.0001$, $t=3.97$). Meanwhile, ~~The~~ correlation between the chronology from LM and total precipitation from February to mid-July (July 20) is also significant with $r=0.63$ ($n=50$, $p<0.0001$, $t=5.62$) (Table 2).

Table 2. The correlation between observed precipitation and ring width indices

Table 2

A physiological basis exists why ~~the time period from February to July (early or mid) could be acceptable. Beyond~~ the statistical high ¹⁴ values of correlation ($r=0.60$ ^{and} 0.63) there is basis of the physiological explanation. In such an arid region the relationship between tree growth and spring and early summer precipitation can be described ^{as a relationship} the linear correlation before the rainfall increased ^{due to} to a great amount in a short time period (for example, more than 100 mm in one day could be monsoon-related flooding). In the spring and early summer when

I have trouble understanding this logic, probably because of the language used. Please clarify.

Table 2 The correlation between observed precipitation and ring width indices.

Month	LM	WD
Prior August	0.15	0.32*
Prior September	0.15	0.5* 0,50
Prior October	0.18	0.22
Prior November	-0.15	-0.12
Prior December	-0.10	-0.1 -0,10
January	-0.14	-0.05
February	0.44*	0.4* 0,40
March	0.33*	0.03
April	0.38*	0.31
May	0.26	0.17
June	0.29	0.4* 0,40
July	0.24	0.31
August	0.13	-0.01
February to early-July	0.55*	0.60*
February to mid-July	0.63*	0.50*

* exceeds the 95% significant confidence level. WD: 1961-1990. LM: 1951-2001.

$P < 0.05$

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are any correlations
 significant at $p < 0.01$
 and $p < 0.001$?
 if so, list these

temperature rises, the tree needs more water for ~~its~~ fast ~~growing~~. More ~~water~~ ^{precipitation} partly ^{attributable} to the frontal movement of the East Asia Monsoon, could cause a wide ring. ^{If} water decreases, tree growth could slow down, producing a narrow ring. In the flood season, however, the correlation between precipitation and ring width becomes less significant and has a non-linear relationship (Shao and Wu 1994). Meteorological research demonstrated that the ^{past} great amount of rainfall (flooding) occurs during the period of late July to early-August (July 21 to August 10) in Hohhot region (Wang 1997).

Generally speaking, February to early summer rainfall is a crucial factor for the commencement of tree growth, formation of new needles and the effective length of the growing season in the study regions.

RECONSTRUCTIONS

Wu Dangzhao (WD) Rainfall Reconstruction From February to Early- July (R_{27E})

According to the correlation results and also considering that the ^{auto}correlation in the STD-WD chronology is significant with $r = 0.381$ ($N=282$), a transfer function between tree-ring width (predictors) and total precipitation of February to early-July (predicted) is designed as follows:

$$R_{27E} = 56.6W_{(t)} - 27.5W_{(t+1)} + 74.6 \quad \square 1 \square$$

$$\square N=29 \square r=0.666, R^2=44.3\%, R^2_{adj}=40\%, F=10.35 \square$$

$$\text{standard error} = 32.62, P < 0.0001, t = 4.20$$

where R_{27E} is total precipitation of February to early-July (to July 10th) ^{and} $W_{(t)}$ and $W_{(t+1)}$ are the indices of the STD_(t)-WD and STD_(t+1)-WD chronologies, separately. For the

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calibration period of 1962-1990 ($N_1=29$), the equation is highly significant. The predictor variable accounts for 44.3% (and 40% when adjusted for loss of degrees of freedom) of the variance in the precipitation. Fig. 4a shows the comparison of actual and estimated total precipitation of February to early-July (July 10) over WD region for the interval of 1962-1990.

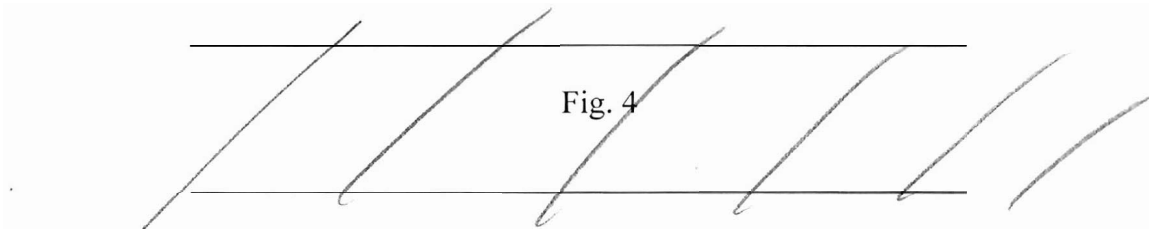


Figure 4. Comparison between observed (thick lines) and tree-ring estimated (thin lines) precipitation (mm). (a) February to early-July (to July 10th) for WD; (b) February to mid-July (to July 20th) for LM.

The *jackknife* replication technique (Monteller and Tukey 1977) was used to assess the accuracy of the calibrated regression equation ^{because} ~~since~~ the observation series is short. This procedure could be carried to its limit, where the observations in the calibration period are left out one at a time and calibrations are made on the remainders (Gordon 1980). This is also called a "leave-out-one" procedure. The *jackknife* results ^{no italics revealed} ~~reveal~~ that ~~the~~ all values of r , R^2 , R^2_{adj} , standard error of estimate, t -value and Durbin-Watson statistics are close to the values found on the total data set (Table 3). The small difference between each item shows the stability of the calibration function.

We also use ^d ~~use~~ ^a ~~powerful~~ bootstrap resampling approach (Efron 1979; Young 1994) to ^{w&A-} ~~do~~ verification. This method is used for ~~that~~ the available observations of a variable containing

Table 3. Calibration and verification statistics for WD regression.

Calibration		Verification	
		Jackknife	Bootstrap (50 iterations)
		Mean (range)	Mean (range)
r	0.666	0.666 (0.635-0.71)	0.649 (0.366-0.802)
R^2	0.443	0.444 (0.403-0.504)	0.429 (0.134-0.643)
R^2_{adj}	0.40	0.422 (0.38-0.485)	0.409 (0.103-0.63)
Standard error of estimate	32.617	32.0066 (30.516-32.615)	30.32 (24.031-35.653)
t	4.21	4.485 (2.277-5.141)	4.665 (2.083-7.096)
Durbin-Watson	2.297	2.289 (1.921-2.478)	2.268 (2.077-2.36)

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round all values
to only two decimal places,
please
0.666 = 0.67 etc .

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the necessary information to construct an empirical probability distribution of any statistic of interest. It is often used when the data set is too small (Cook and Kairiukstis 1990). ~~By using bootstrap method,~~ After 50 iterations, we obtained the equivalent results with those of Jackknife method. Again, all values of r , R^2 , R^2_{adj} , standard error of estimate, t -value, and Durbin-Watson statistics are close to the values found on the total data set (Table 3). *keep together*

Both Jackknife and bootstrapping results indicate that the calibration regression model for WD site has relatively stable correlation estimates.

Table 3. Calibration and verification statistics for WD regression.

Table 3

Finally
 Besides the above ~~Jackknife and bootstrap tests~~, we also use the sign test (S_1 , S_2), reduction of error (RE) and product mean (t) (Fritts, 1991) to verify the precision and reliability of our reconstructions. ~~(Table 4) shows the statistical characteristics of the reconstructions.~~ For the precipitation of February to early July in WD, in general, the ~~verification statistics items in table 4~~ indicate that the R_{27E} reconstructed data track the independent observations quite well from 1961 to 1990. Only the sign test S_1 ($n=21$) does not reach the 99% confidence level ($B=23$), but at the 95% level ($A=21$). Thus, the R_{27E} reconstruction ~~surely~~ contains useful information.

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verification statistics
items in table 4

n 7,

Table 4 The statistical characteristics of verification of WD and LM reconstructions.

	$S_1(a, b)$	$S_2(a, b)$	t	RE
LM	37(30,32)	39(31, 33)	5.9	0.39
WD	21(21, 23)	23(21,22)	4.2	0.42

$a=95\%$ significant confidence level; $b=99\%$ level. RE reduction of error; S_1, S_2 sign test. S_1 is the general sign test between observation and reconstruction that measures the associations at all frequencies. S_2 , which reflects the high-frequency climatic variations, is a similar test to that mentioned above, and it is made for the first differences; t product mean (Fritts 1991).

Table 4. The statistical characteristics of verification of WD and LM reconstructions.

Table 4

↑ keep with above text

Therefore, the total precipitation from February to early-July for the WD area was reconstructed based on the model (1) for the period of 1719 to 2001 (Fig. 5a).

La Madong (LM) Precipitation Reconstruction From February to Mid- July (R_{27M})

For the same reason that stated above, we here again use standard chronology from LM. It should be noted that autocorrelation in the STD-LM chronology is significant with $r = 0.37$ ($n = 401$). Transfer functions were established and the statistical characteristics of model are:

keep in paragraph form, this is not a table

$$P_{27M} = 12.5W'_{(t)} - 3.7W'_{(t+1)} + 6.8 \quad \square 2 \square$$

$$\square N=50 \square r=0.653, R^2=42.7\%, R^2_{adj}=40.3\%, F=17.51 \square$$

$$\text{standard error}=43.56, P<0.0001, t=5.90$$

and

where P_{27M} is total precipitation of February to mid-July (July 20), $W'_{(t)}$ and $W'_{(t+1)}$ are the indices of the STD_(t)-LM and STD_(t+1)-LM chronologies, separately. For the calibration period of 1952-2001 ($n=49$), the equation is highly significant. The predictor variable accounts for 42.7% (and 40.3% when adjusted for loss of degrees of freedom) of the variance in the precipitation. Fig. 4b shows the comparison between observed and tree-ring estimated total precipitation of February to mid-July (July 20) over LM region

Table 5 Calibration and verification statistics for LM regression.

Calibration		Verification	
		Jackknife	Bootstrap (50 iterations)
		Mean (range)	Mean (range)
r	0.653	0.653 (0.593-0.688)	0.637 (0.319-0.786)
R^2	0.427	0.427 (0.352-0.473)	0.416 (0.102-0.618)
R^2_{adj}	0.403	0.415 (0.338-0.462)	0.403 (0.083-0.61)
Standard error of estimate	43.554	43.088 (40.105-43.553)	41.495 (30.445-50.734)
t	5.901	5.919 (5.051-6.493)	5.946 (2.33-8.086)
Durbin-Watson	1.996	2.006 (1.826-2.186)	1.974 (1.857-2.108)

see comments
for Table 4

for the interval of 1952-2001.

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The results of the *Jackknife* and bootstrap tests (Table 5) show that the calibration regression model for LM site has relatively stable correlation estimates. Statistical characteristics of sign test (S_1, S_2), reduction of error (RE) and product mean (t) for LM February to mid-July rainfall reconstruction are listed in Table 5

Table 5. Calibration and verification statistics for LM regression.

Table 5

Based on equation (2) the total precipitation from February to mid-July was reconstructed for LM area for the period of 1600 (SSS>0.75 from 1648) to 2001 (Figure 5b).

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DISCUSSION

Comparison Between Reconstructions and Historical Documents

We have been able to use historical documents to check the reliability of our reconstructions. A great volume of Chinese historical writings contains abundant climatic descriptions which are of considerable value for studying climatic fluctuations. During the 1970's, hundreds of climatologists processed these materials from more than 2200 local annals and many other historical writings nation-wide and abstracted from them more than two million and two hundred thousand characters (data). The dryness/wetness (D/W) of

2,200,000

Table 6 Five wet/dry classes (P-grade) divided from the two precipitation reconstructions

P-grade	Wet/Dry	Range	Years		Percentage of the total (%)	
			LM	WD	LM	WD
I	wettest	$R_t > R_m + 1.170$	47	33	11.7	11.7
II	wet	$R_m + 0.33\sigma < R_t \leq R_m + 1.17\sigma$	102	70	25.5	24.9
III	normal	$R_m - 0.33\sigma < R_t \leq R_m + 0.33\sigma$	106	70	26.5	24.9
IV	dry	$R_m - 1.17\sigma < R_t \leq R_m - 0.33\sigma$	100	71	25	25.3
V	drought	$R_t \leq R_m - 1.170$	45	37	11.3	13.2

R_m is the precipitation mean, and σ is standard deviation. R_t is the precipitation at t year.

For LM February to mid-July precipitation, $R_m = 154$ mm and $\sigma = 31$ mm (1601 to 2001).

For WD February to early-July precipitation, $R_m = 103$ mm, and $\sigma = 24$ mm (1720 to 2001).

format table to
put all equations on one line

Table 7 The correspondence between reconstructed low precipitation from February to early July (or mid July) and documented drought years

Reconstructed rainfall (mm)

Year	Wu Dangzhao		La Madong		
	WD Reconstruct -ed rainfall (mm)	WD P-grade	LM Reconstr- ucted rainfall (mm)	LM P-grade	<i>is this?</i> Document descriptions
1750	93	4	109	5	severe drought in Baotou
1758	70	5	92	5	severe drought in Baotou and Hohhot, no rainfall for the whole year, nothing could be eaten except bark and grass roots, people even ate each other
1777	92	4	118	4	severe drought
1800	75	4	119	4	severe drought in Baotou
1877	99	3	144	4	severe drought in Baotou and Hohhot for two years, wheat was as precious as pearls
1878	74	5	158	3	
1883	100	3	169	2	severe drought in Baotou and Hohhot

1891	55	5	93	5	severe drought in Baotou and Hohhot for several years, no rainfall, cannot plant, no harvest, nothing left in the food bank, countless people died
1905	80	4	110	5	severe drought in Baotou
1926	65	5	132	4	severe drought in Inner Mongolia on large scale
1928	53	5	86	5	severe drought in Inner Mongolia on large scale
1929	75	5	54	5	severe drought in Inner Mongolia on large scale for four years (in north China), nothing in the field; especially in 1928, it ^{which had} has no rain for spring and summer, nothing grew, livestock ^{starved to death} were killed because of no food
1941	95	4	135	4	severe drought in Baotou
1942	60	5	133	4	severe drought in Baotou

each year in the recent 510-year period ^{was} were classified into 5 grades: grade 1 – wettest, grade 2 – wet, grade 3 – normal, grade 4 – dry, and grade 5 – drought (Academy of Meteorological Science 1982).

this 380, not 379

Many dry or drought and wet years identified in the historical records emerged also in our reconstructions. Historical records and reconstructions ^{correlate} are statistically significant ~~is~~ ^{s of} ~~correlated at the 99% level~~ with Spearman rank correlation $r = -0.20$ for LM (~~n~~ⁿ = 379, 1600-1979, $p < 0.0001$, $t = 3.96$) and $r = -0.22$ for WD (~~n~~ⁿ = 260, 1719-1979, $p < 0.0001$, $t = 3.62$).

this is 261, not 260

please use en-dashes

Both the precision and resolution of series derived from historical documents ^{are} is likely to be higher after 1900 ^{because} due to many more records ^{are} being available than in earlier times (Zhang 1995). The correlations rise to -0.46 for LM (~~n~~ⁿ = 80, 1900-1979, $p < 0.0001$, $t = 4.6$) and -0.28 for WD (~~n~~ⁿ = 80, 1900-1979, $p < 0.001$, $t = 2.6$). ^{although the correlation was} This is mainly due to that in the ^{period} earlier time there were less historical records available (Zhang 1995).

510-year period for the site.

Another approach is that ^{we also compared our reconstructions with} we directly used some local annals from the areas nearby (for example Baotou city, 30 km south of WD; and Hohhot city, 20 km east of LM) ~~to test our reconstructions~~. Some severe drought years in these historical records emerge in the two reconstructions. The years with precipitation of February to early July (or mid July) lower $\text{an } R_t \leq R_m - 1.17\sigma$ (see Table 6 for the definition of severe drought) are described as "severe drought" in original documents (Institute of Meteorology of Inner Mongolia 1975; Council of Inner Mongolia 1976). ~~Some examples, just name a few,~~ are given in Table 7. We should note that many drought years which appear in the reconstructions no records are available in the historical documents, the reason we have stated above.

what are these, explain here not in table

~~Table 6. Five wet/dry classes (P grade) divided from the two~~

precipitation reconstructions

Table 6

keep with above text
↑

In the ~~Table 7~~, ^A almost all severe drought years in the historical documents correspond to low precipitation years in the reconstructions.

~~But one case,~~ ^{was} the year 1883 ⁴ is an exception. It was recorded as "severe drought in Baotou and Hohhot". However, in two tree-ring reconstructions no drought is evident. Rainfall in WD was 100 mm corresponding to precipitation (grade 3), which means ^{normal} "normal". Precipitation in LM was 169 mm, ^{and was classified as "wet"} correspond to precipitation (grade 2), which ^{occurred when translating,} means "wet". Probably an artificial mistake was placed in the historical document. ^{which} This feature ^{expense} should be viewed with caution when we use historical documents.

To make a better comparison, we divided precipitation reconstructions into five equally probable classes, precipitation-grade (P-grade), based on standard described in Table 4 (Academy of Meteorological Science 1982). ~~Percentage in the Table 4 displays~~ ^{percentages} that the frequency of wet (LM: 1 + 2 grade=37.2%; WD: 1 + 2 grade=36.6%) and dry (LM: 4 + 5 grade=36.3%; WD: 4 + 5 grade=38.5%) ^{periods a} is larger than the normal ones (LM: 3 grade= 26.5%; WD: 3 grade= 24.9%). In the WD region, the frequency ^{of dry years} is higher than that of wet and normal years, ^{because} since WD is 120 km northwest ^{from the} away to LM sites, ^{and is} ~~is~~ more sensitive to monsoon ^{front} related precipitation. ~~In another words,~~ the stronger monsoon the more rainfall and the weaker monsoon the less rainfall. In such an environmentally sensitive transition region, 120 km can make a big difference in response

to strong or weak monsoon **front** variation.

Table 7. The correspondence between reconstructed low precipitation from February to early July (or mid July) and documented drought years

Table 7

From the observation records, we know that the range of precipitation from February to mid-July in LM region is about 50^① 354 mm, and the average is 154 mm, but in the more west^② WD area the range of February to early-July precipitation is only 47^③ 180 mm, and mean^④ is 103 mm. **The** WD region receives much less precipitation than LM, ^{because the} since WD site is in the far northwestern margin of the EASM. ~~This is just the major feature of the EASM climate in its margin regions.~~ Despite similar trend^s in the precipitation ^{amount}, ~~but~~ the precipitation ^s amount^s are different.

We then-calculated the correlation between the historical dryness/wetness (W/D) indices and precipitation grade (P-grade) for the different time intervals. The correlation between them is likely to be higher step-by-step. For example LM sites reach 0.47 after 1879 ($N=101$, 1879-1979, $p<0.0001$).

^{with other}
~~Comparison Between Reconstructions and the Other Summer Monsoon Front Related Precipitation Reconstructions in North China and Dunde Ice Cap Accumulation From West China~~

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changes in the strength of

The movement of the EASM front, to a certain degree, reflects ~~strong/weak~~^A of the monsoon in the past. Thus it is very important to determine whether our reconstructions in ~~this paper~~ have regional or local climatic signals. We here compare them to other two annual-resolution reconstructions, which come from environmentally sensitive zone, ~~in~~^S near the monsoon margin as well.

keep text together

One is from northern Helan Mountain (NHL), 400 km away in the west of our study area. The rainfall reconstruction, May to July precipitation, from this site is based on Chinese ~~in~~^a tree-ring ~~index~~^{indices} from 1726 to 1997 (Liu *et al.* 2004).

keep text together

The other ~~one~~ is from Baiyinaobao (BYAB), 600 km away eastern of LM, ~~in~~ⁱⁿ inner Mongolia (Liu *et al.* 2003). The total precipitation from April to early July (July 10) ~~in~~ growing season in this site was constructed using *Picea koraiensis* tree-ring width^S.

Precipitation changes ~~in~~^{at four sites in the} all the ~~four~~ regions ~~over~~ environmentally sensitive zone in northern China are shown in Fig. 5. Many smaller-scale variations can be found in all annual-resolution series. ~~These four original curves are correlated,~~^{for} except the low correlation between WD and BYAB (~~Table 8~~), the wet or dry extreme events are well ~~matched in many cases,~~^{correlated (Table 8),} for example, the severe drought^S in 1792, 1839, 1867, 1900, 1928, ~~1929,~~^{and} 1966 ~~is~~^{appears}. It looks like that trees we selected responded strongly and quite synchronously to a large-scale climatic change. This ~~means~~^{interannual variations in suggests} that the ~~same~~^{dominated by} climatic systems, ~~presumably related~~^{presumably related} to the EASM front, ~~affect~~² the precipitation from February to July in a large region in north China. ~~The trees we selected responded positively to this large-scale climatic change.~~



Table 8 Correlations between rainfall reconstructions from LM, WD, Baiyinaobao (BYAB), and northern Helan Mountains (NHL).

	Original series			After 10-year moving average		
	$r(N, p)$			r		
	LM	WD	BYAB	LM	WD	BYAB
WD	0.62 (282, 0.0001),			0.60		
BYAB	0.28 (163, 0.0001)	0.15 (163, 0.06)		0.14	0.13	
NHL	0.29 (277, 0.0001)	0.37 (277, 0.0001)	0.28 (161, 0.0001)	0.24	0.32	0.11

Figures:

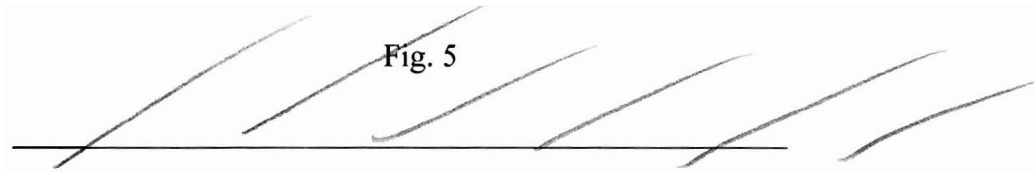


Figure 5. Precipitation changes in the four regions over environmental sensitivity zone in Northern China. (a) February to mid-duly (to July 20th) for LM region; (b) February to early-July (to July 10th) for WD; (c) May to July precipitation for the North Helan Mountains; (d) April to early July (to July 10th) precipitation for Baiyinaobao. The curves overlapped in (a) and (b) show the changing sample size for each year.

Be ■
 Since these four sites are all located in the margin of the EASM, the reconstructed climatic factor (rainfall from late spring, or spring, to early summer) in these regions should be related to the EASM front rainbelt. This comparison also could indicate a spatial and temporal connection of spring to early summer climatic condition for the northwestern to northeastern along the environmentally sensitive zone (the margin of the EASM).

Table 8. Correlations between rainfall reconstructions from LM, WD, Baiyinaobao, and northern Helan Mountains.

~~We have made a large-scale monsoonal front-precipitation comparison in northern~~

~~China, but these precipitations are all based on the tree-ring data. Beyond the tree-ring data,~~

Next / d we use another high-resolution records, ^{proxy of climate} ice annual accumulation from ^{the} Dunde ice cap

(Thompson *et al.* 1988), to make ^{a further} comparison. Dunde is the closest ice cap site to our tree-ring sites. As calculated, the correlation between Dunde ice annual accumulation and tree-ring precipitations are very low, not significant. Rotated empirical orthogonal function (REOF) analysis on these five ~~series~~ ^{and ice accumulation} revealed that all tree-ring rainfall reconstructions have the same signs, but ^{show an} opposite sign for ice accumulation. That means that there are different variation trends between Dunde ^{reconstructed} ice annual accumulation and tree-ring precipitation. However, there is the same trend within all four precipitation series.

The results demonstrate the existence of spatially coherent modes of the EASM precipitation variation in the LM, WD, Baiyinaobao, and northern Helan mountains ^{that} ~~at least~~ ^{our analyses} reflect large-scale modes of climate forcing on tree growth. Also ~~it~~ ^{we} revealed a fact that ^{the} Dunde ice cap is not strongly influenced by the EASM system. Thus, the reconstruction from Dunde ice cap is not well suited for comparison with our eastern China tree-ring reconstructions* ^{because to} they seem ~~reflecting the~~ ^{reflecting} the different climatic system [?]

Decadal to Multi-Decadal Variation ⁵ ~~Analysis on~~ Reconstructions

~~In order~~ ^T to recognize ~~the~~ ⁵ climatic variation ^{at} decadal to multi-decadal time scales, four reconstructed precipitation series and ^{the} dryness-wetness indices were smoothed by a 10-year moving average (Figure 6). The dry and wet periods could be surveyed more clearly. The trends of four tree-ring reconstructions vary almost synchronously, in spite of some low correlations between them (Table 8).

^{keep together} ~~After moving average~~ ^{The} correlation ⁵ between LM and WD and dryness/wetness index ^{are} slightly higher than ^{with the} original series, with ^{an r-value of} value 0.25 ^{for} LM ($n=369, p<0.01$) and 0.24 ^{for} WD ($n=250, p<0.01$). However ^{to the} during 1860s-1870s ^{show inconsistency} they are controversy. LM and ^{the period of the}

WD display relatively wet, but ~~dry~~ in dryness/wetness series,,
^a ^e ^d ^{the}

shows a relatively dry period.

In general, ^{at the} ~~in this~~ margin of the EASM the periods of below normal precipitation could be found ⁱⁿ ~~over~~ the 1720s, 1760s, 1790s, 1840s, 1860s, 1890s, 1910s, 1920s, 1930s and after the late 1960s ^{early} 1970s. The periods of above normal precipitation occurred over the 1650s, 1710s, 1760s, 1780s, 1820s, 1830s, 1870s, 1880s, 1940s ^{to} early 1960s and 1990s. These periods were ^{obtained} ~~deducted~~ from the smooth curves. Since 1880, the variation trends are quite similar to the precipitation variation in ⁿ ~~s~~ ⁿ ~~s~~ Northeast and Northwest China found ~~by Qian~~ (Qian and Zhu 2001).
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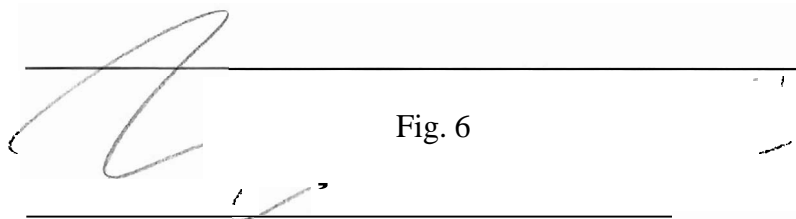


Figure 6. Comparison ^{of} ~~with~~ dryness/wetness indices, LM, WD, north Helan Mountains, Baiyinaobao on decadal to multi-decadal time scale ~~curves were smoothed~~ using a 10-year moving average. ^{d precipitation reconstruction curves from}

It is worth ^{noting} ~~to note~~ that all five curves exhibit striking similarity ^{of} ~~of~~ variation after 1900.

Precipitation in WD, LM, and ^{the} north Helan Mountains ^{is} ~~is~~ increasing ^{very} ~~clearly~~ since 1970s, which followed the ^{increase} ~~increasing~~ of the northern Hemisphere mean temperature. ^{They} ~~They~~,

^{for} ~~for~~ ^{all} ~~all~~ seem have a quite similar trend to ^{the} ~~the~~ Northern Hemisphere ^{temperature} ~~temperature~~ curve (Folland *et al.* 2001), ^{Probably} ~~Probably~~ the EASM front is becoming stronger as the result of ^{increases} ~~increases~~

^{the} ~~the~~ Northern Hemisphere ^{temperature} ~~temperature~~ ^{increase} ~~increase~~. The late 1990s ^{is} ~~is~~ the period with the most precipitation in ^{the} ~~the~~ WD region ^{for} ~~for~~ the last 270 years (Figure 6).
 had

Another striking feature is the late 1920s severe drought event which is displayed in all smoothed curves. ^(Figure 8) In LM, it was the period with the lowest rainfall in the past 400 years, and the lowest precipitation in WD for last 270 years. In north Helan Mountains and Baiyinaobao, ^{this saw} it ~~was a~~ period ~~with~~ a significant precipitation reduction.

CONCLUSIONS

^{Our} The results of ~~this paper~~ show that there is great potential ^{for} to reconstruct ^{ing precipitation related to the} the EASM front ^{the} related precipitation in environmentally sensitive zone in north China through ring indices over the past 400 years. Using Chinese pine ~~(Pinus tabulaeformis)~~ ring-width data, rainfall from February to early-July for ^{P.} Wu Dangzhao region and from February to mid-July for ^{the} La Madong region, Inner Mongolia, China, were reconstructed. The explained variances account for 44.3% and 42.7% in the precipitation. Many dry or drought and wet years in our reconstructions could be identified in the historical records.

The reconstructions also could be compared with other tree-ring seasonal precipitation reconstructions ^{the} from environmentally sensitive zone, such as northern Helan Mountain and Baiyinaobao. Many smaller scale variations can be found in all annual resolution series. Trees we selected responded strongly and quite synchronously to ^{these interannual} this large-scale climatic change, ^{changes in climate} such as severe drought in 1792, 1839, 1867, 1900, 1928-1929, 1966 etc. This comparison also ~~could~~ ^s indicate a spatial and temporal connection of ^A spring to early summer ^{with} climate condition for the northwest to northeast along the environmentally sensitivity zone.

Another striking feature ^{the} is late 1920s severe drought event ~~which is~~ displayed in all smoothed curves. ^{which} Late 1920s was the most severe drought event in a ^{broad area} large-scale in north ern

China for the last 400 years. This event was most likely caused by ^{very} the weakest EASM circulation. *Lastly, the late 1990s, ...*

The information from this paper will be of value in understanding the natural variation of the EASM on interannual to multidecadal timescales, and so could contribute to the development of an improved capacity to anticipate its future variations.

ACKNOWLEDGMENTS

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Figure Captions:

Figure 1 Location of the sampling sites (A), meteorological stations (●) and other two comparison tree-ring sites, Baiyinaobao) and the north Helan Mountain. The shaded area in the whole China map (inset in low right) indicates the environmental sensitivity zone.

Figure 2 The typical sampling condition in the La Madong site, most of trees we collected grow on the rocks. Photograph by Yu Liu.

Figure 3 Average monthly precipitation values from the Shiguai(●), Hohhot(■) and Baotou(A) meteorological stations in the region of the sampling sites.

Figure 4 Comparison between observed (thick lines) and tree-ring estimated (thin lines) precipitation (mm). (a) February to early-July (to July 10th) for WD; (b) February to mid-July (to July 20th) for LM.

Figure 5 Precipitation changes in the four regions over environmental sensitivity zone in Northern China. (a) February to mid-July (to July 20th) for LM region; (b) February to early-July (to July 10th) for WD; (c) May to July precipitation for the North Helan Mountains; (d) April to early July (to July 10th) precipitation for Baiyinaobao. The curves overlapped in (a) and (b) show the changing sample size for each year.

Figure 6 Comparison within dryness/wetness indices, LM, WD, north Helan Mountains, Baiyinaobao on decadal to multi-decadal time scales. All curves were smoothed using a 10-year moving average.

FIGURE 1

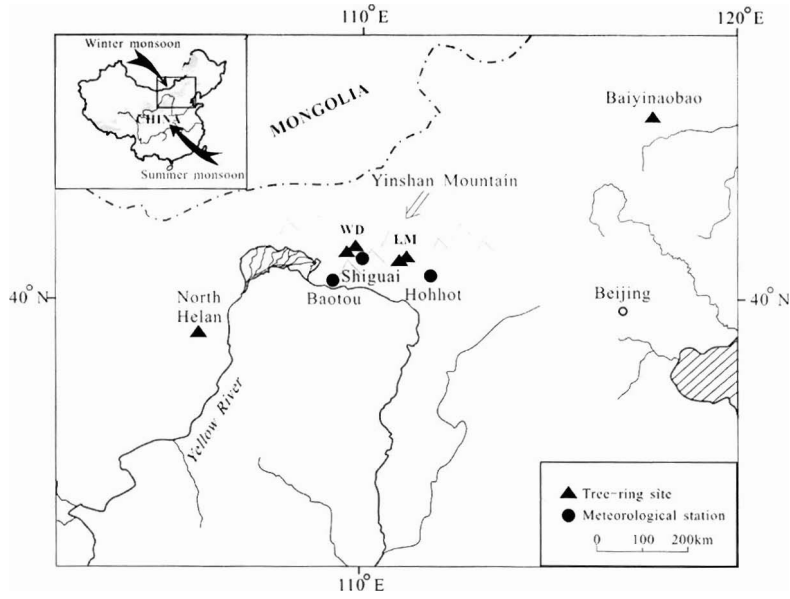
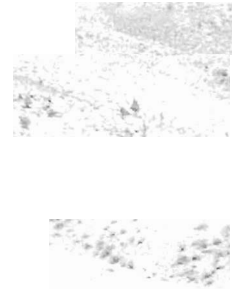


Figure 1



FIGURE 2



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FIGURE 3

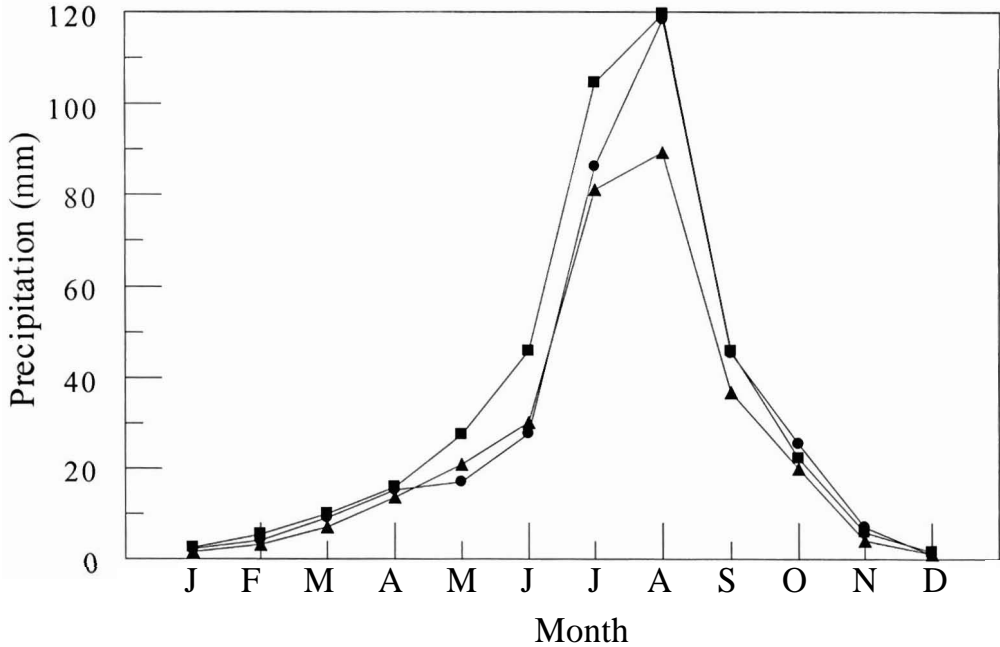


Figure 3



FIGURE 4

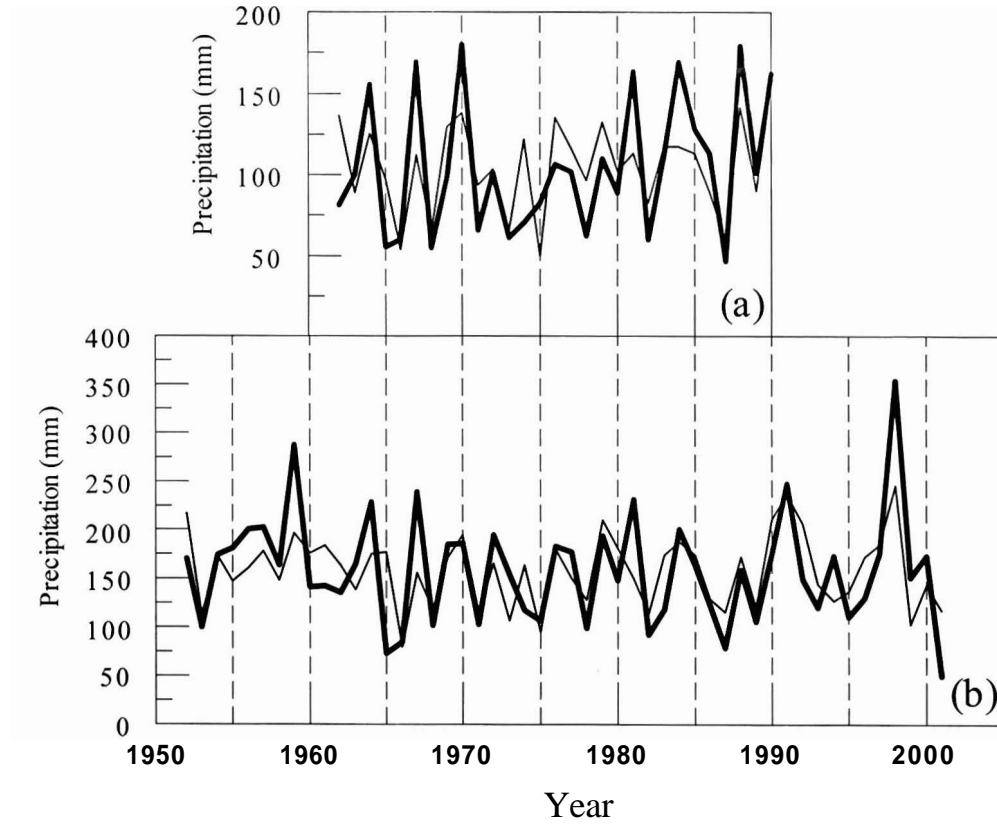


Figure 4

FIGURE 5

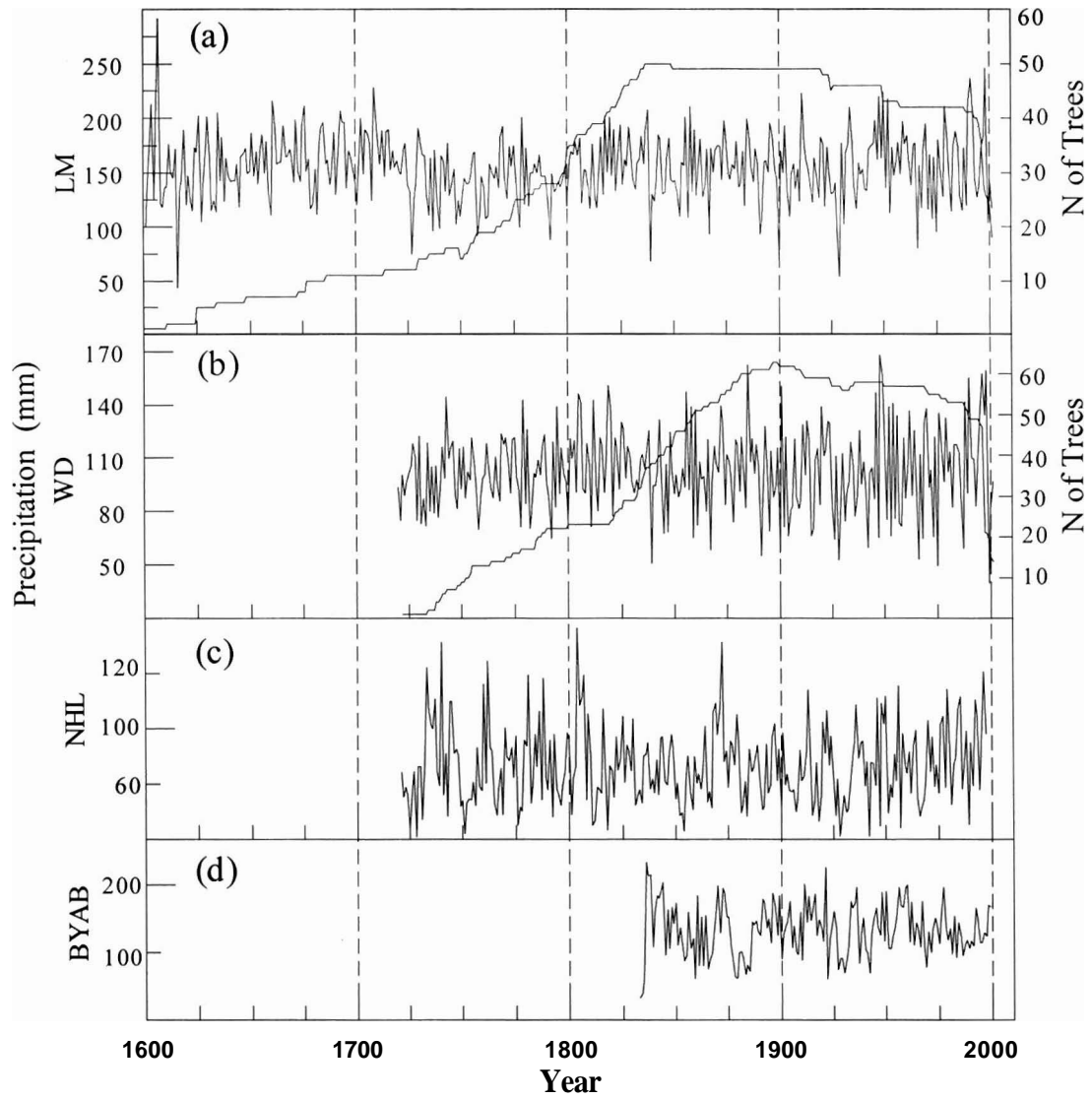


Figure 5

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~~FIGURE 6~~

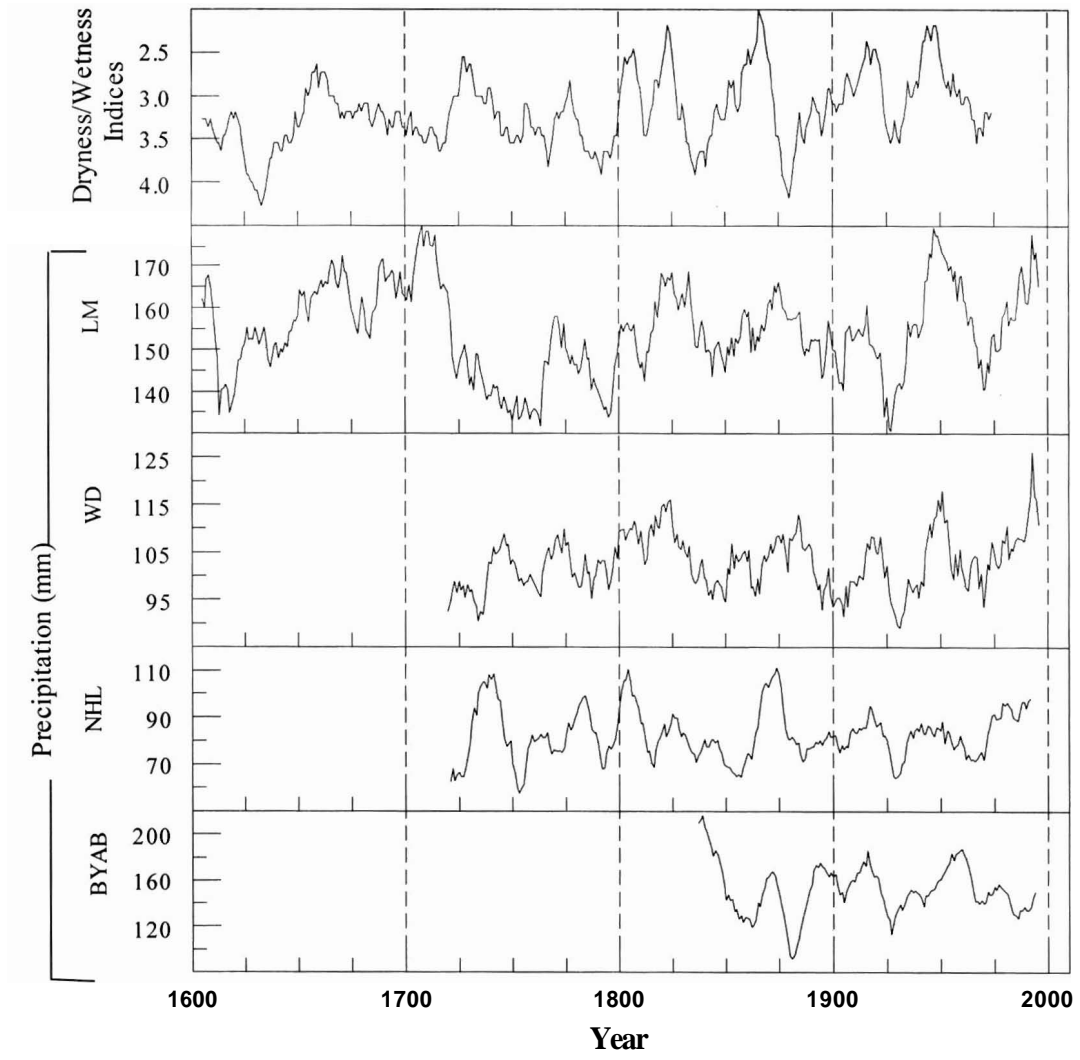


Figure 6